

Experience in Real-time Forecasting and Role of Data Assimilation

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MCNC, Research Triangle Park

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NASA Marshall Space Flight Center

Outline of Talk

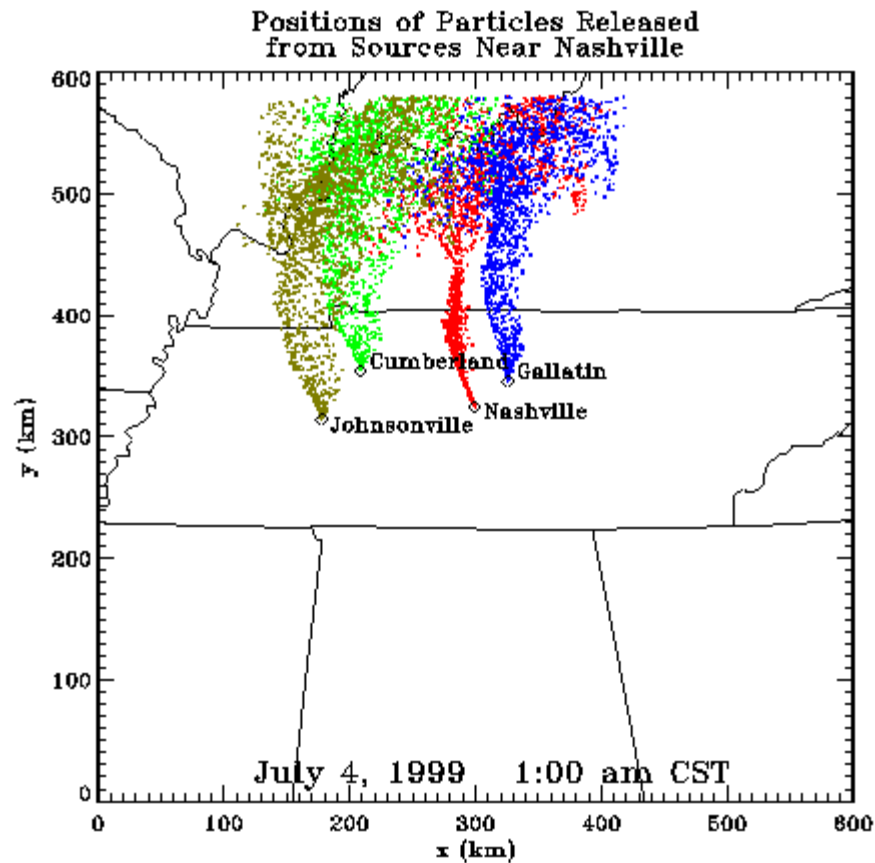
I. Overview of Experiences with Real-time Forecasting During Field Program Intensives During the Southern Oxidant Study (Atlanta, Nashville and Houston)

II. Overview of Experience with Real-time Coupled MM5 and MAQSIP Photochemical Model in Birmingham, AL.

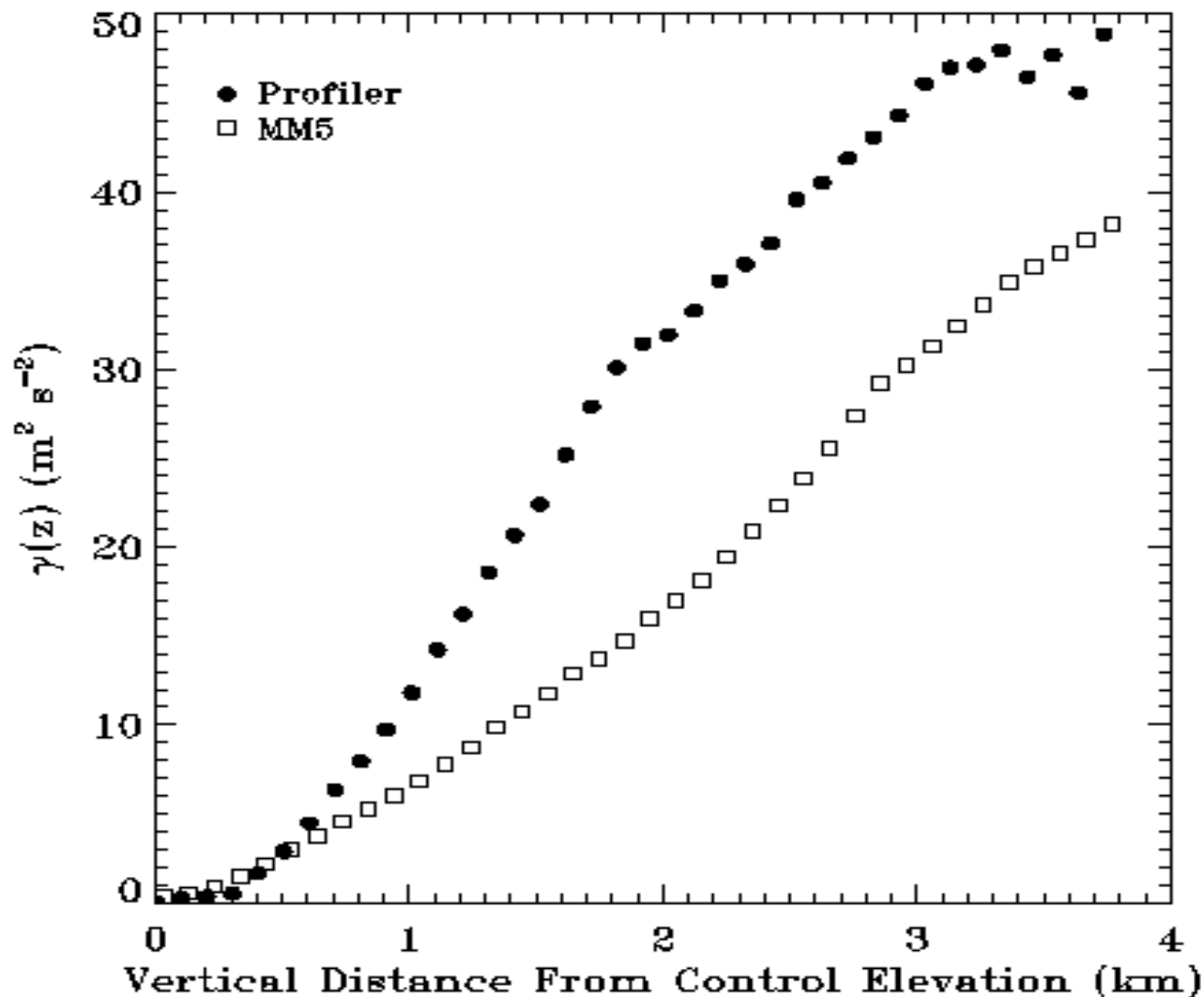
III. Role of Data Assimilation

Boundary Layer Models Coupled to Lagrangian Particle Models -1994-2000

- Used for Aircraft Planning
- Radar Profiler Data Assimilated

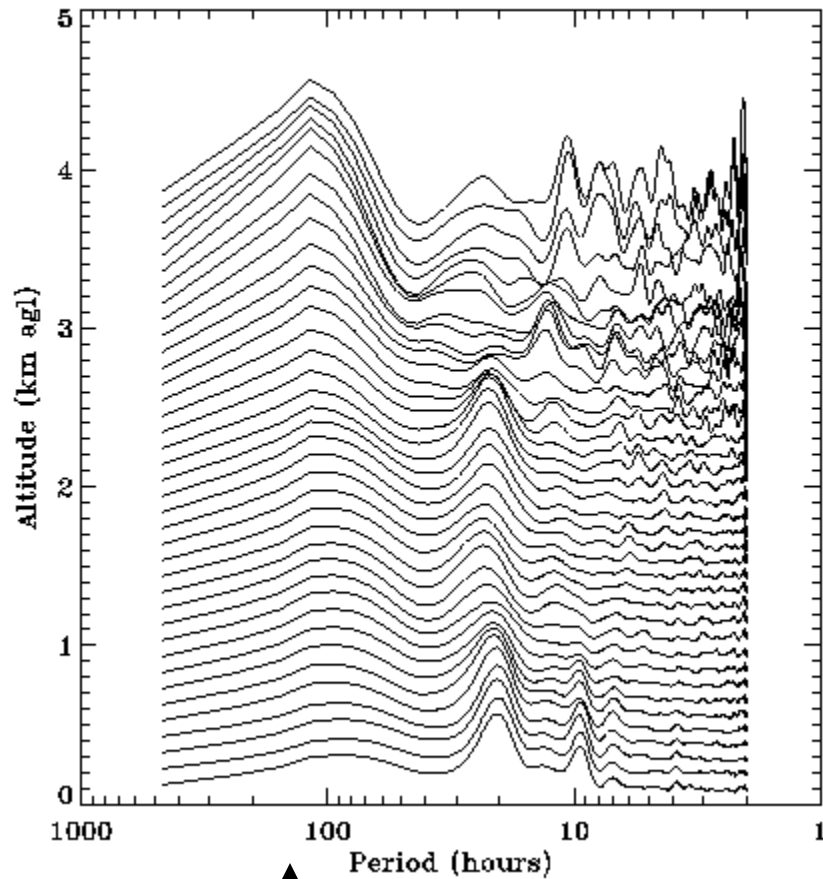


Variogram of Daytime u Wind Component
Summer 1995 Dickson, TN
Control Elevation: 0.12 km



Models Do Not Maintain as Much Energy at Higher Frequencies as Observations

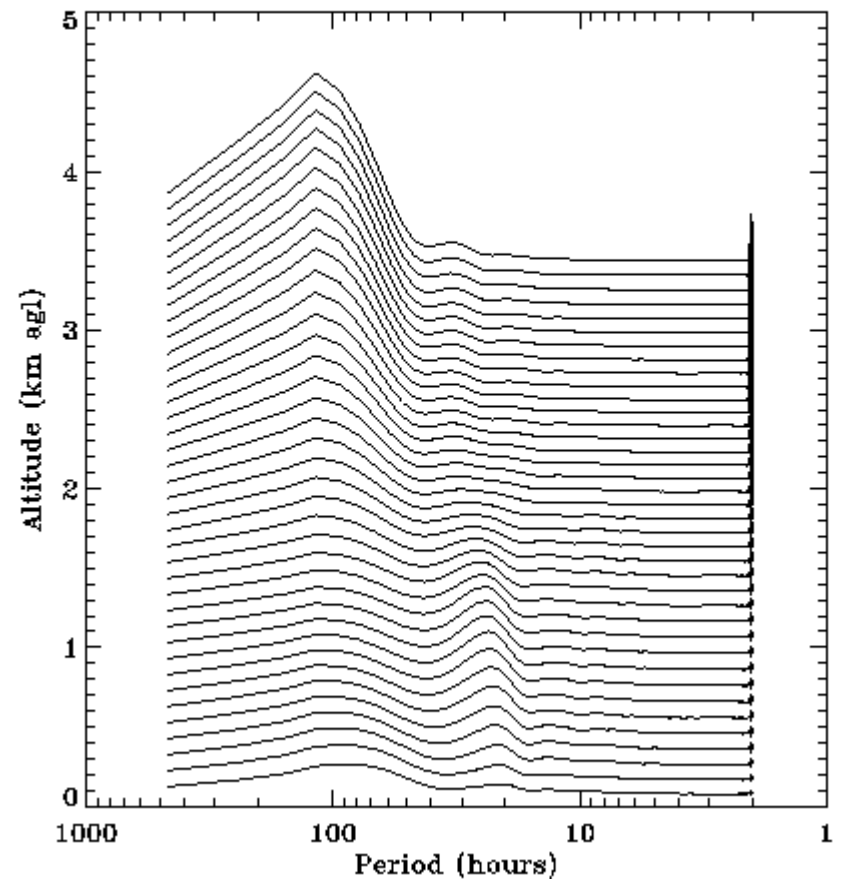
Spectral Intensities of Profiler u-Components
Dickson
June 2-21, 1995 (469 Profiles)



Synoptic

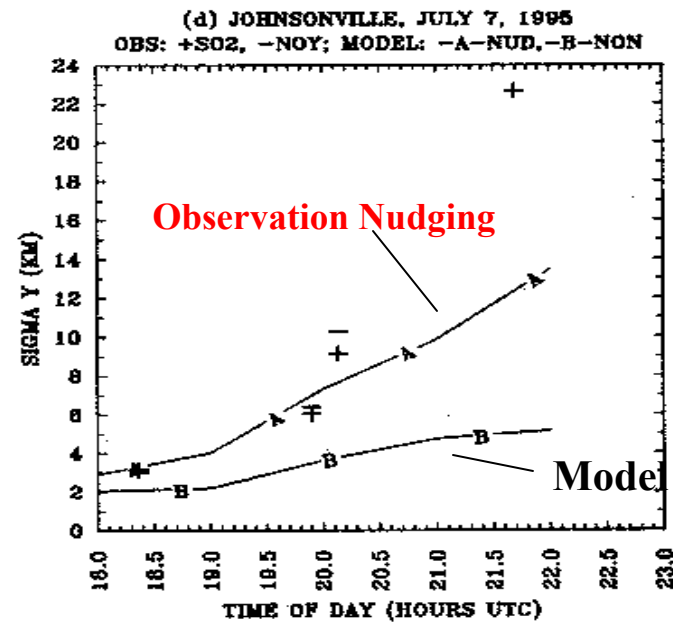
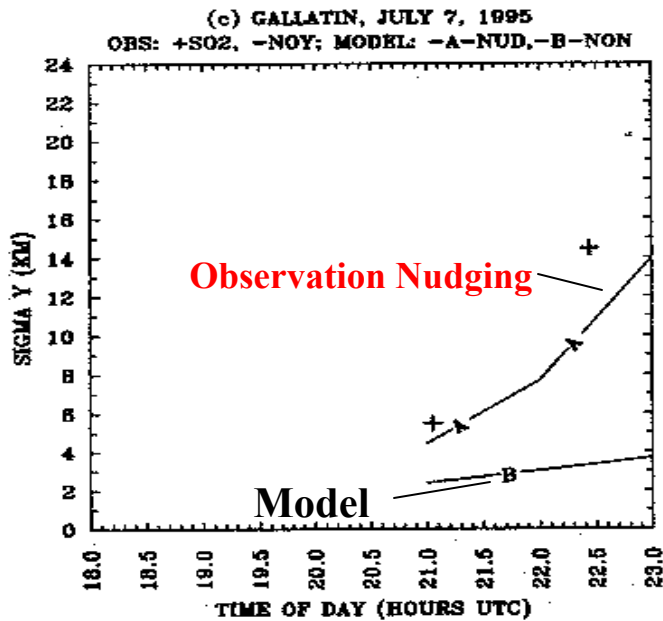
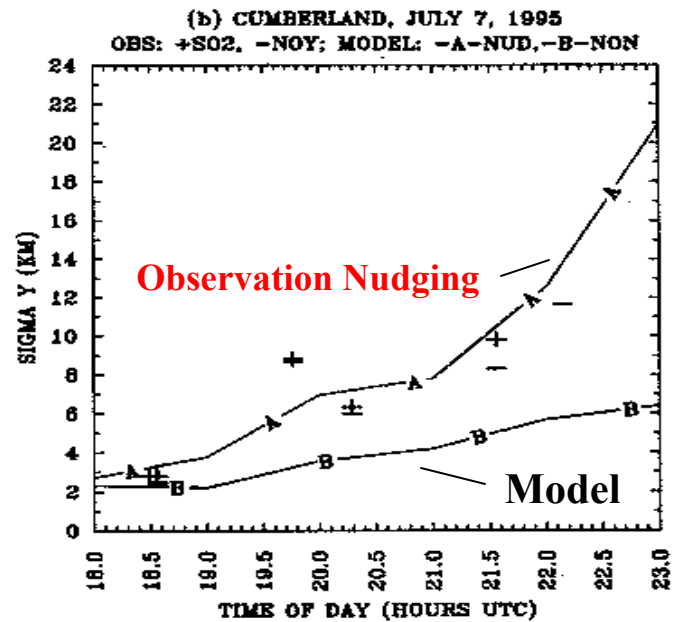
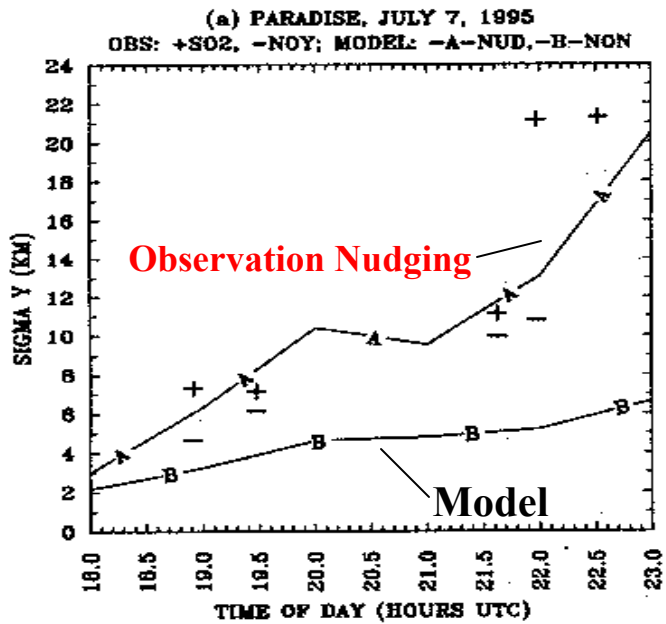
Diurnal

Spectral Intensities of MM5 u-Components
Dickson
June 2-21, 1995 (469 Profiles)



Synoptic

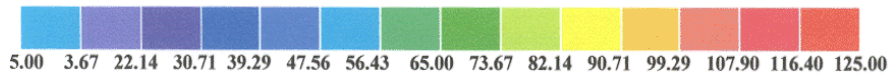
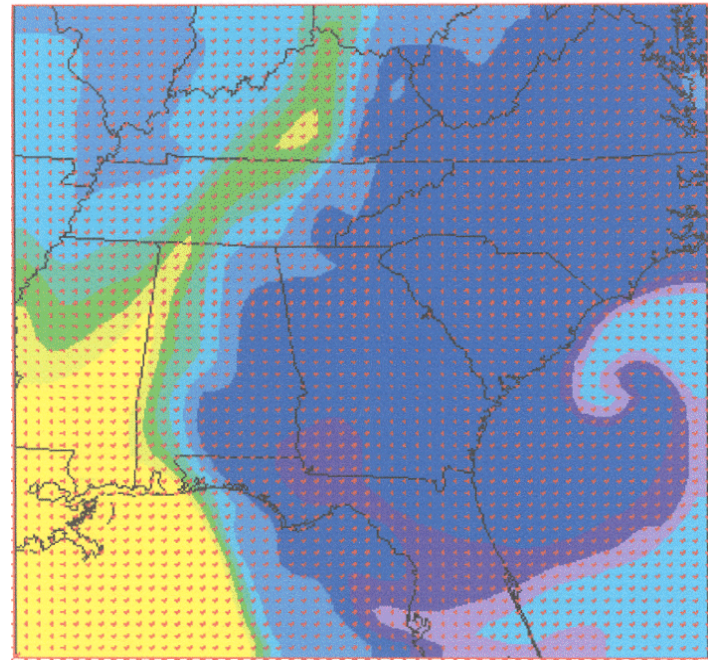
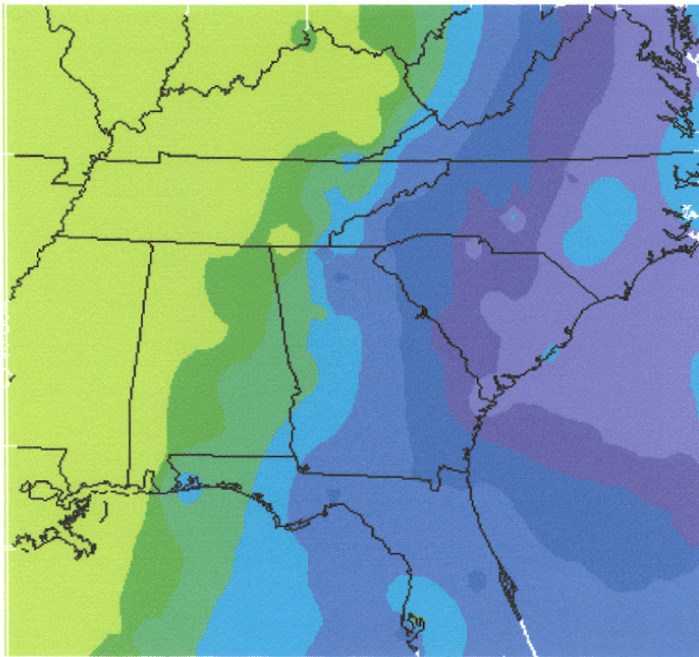
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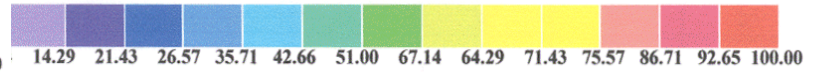
Role of Transport in Statistical Forecast Models Used in SOS in 1995 Nashville Field Program

Ms. Toree Myers - M.S. Student

- Most statistical models used for air quality modeling use only local variables - e.g. previous day ozone, local winds.
- This investigation made a first attempt at quantifying the role of ozone transport to local ozone levels using observed ozone in a mesoscale model.
- Surface ozone observed at noon over the Eastern US was objectively analyzed on a horizontal grid during a two month period.
- Values were distributed uniformly vertically through the mixed layer.
- Ozone was transported as a conservative tracer in the RAMS model
- Data was statistically analyzed.



OZONE CONCENTRATION (PPB)



OZONE CONCENTRATION (PPB)

Initial Objective Analysis of
Noon Time Observed Ozone
Data

RAMS Forecast of Advected Field
of Ozone as a Conservative Tracer

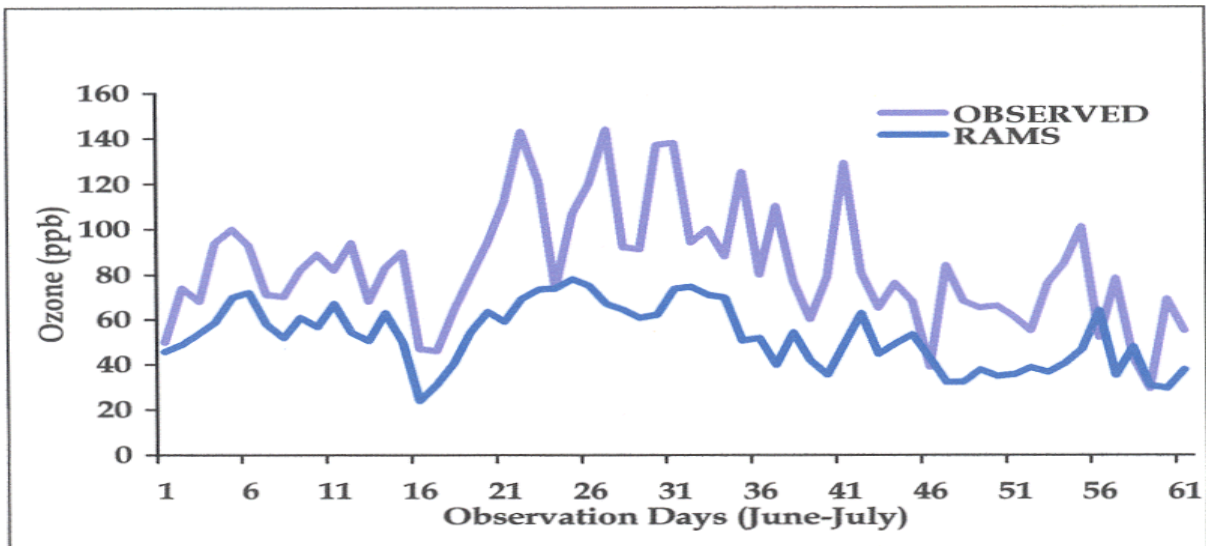


Figure 9.1. RAMS Forecasted Noon Ozone Versus Observed Maximum Ozone Concentration Using 1995-1996 Atlanta Ozone Data.

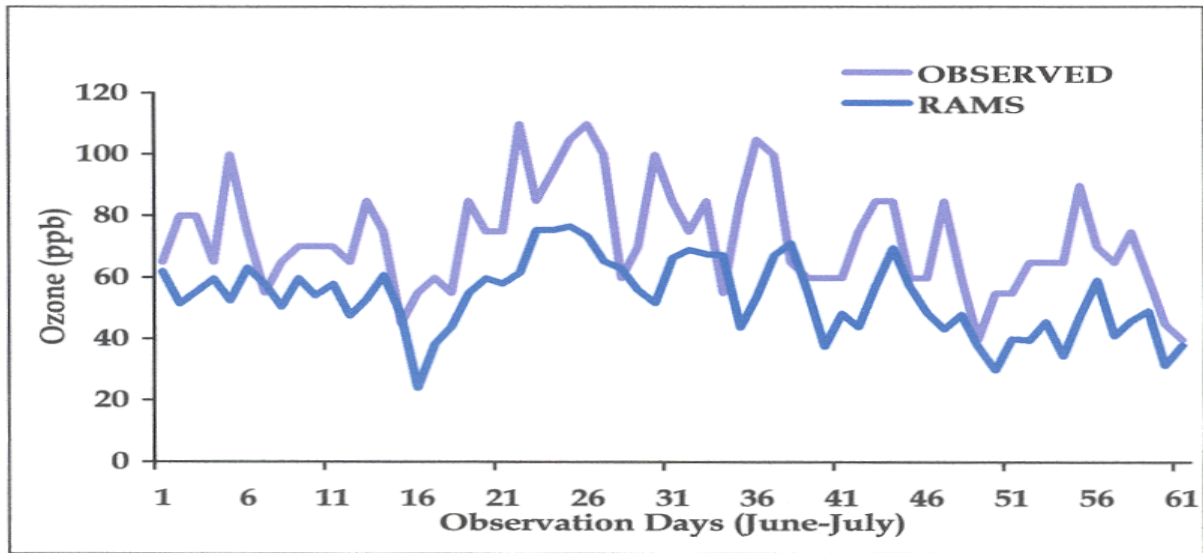


Figure 9.2. RAMS Forecasted Noon Ozone Versus Observed Maximum Ozone Concentration Using 1995-1996 Percy Priest (Nashville) Ozone Data.

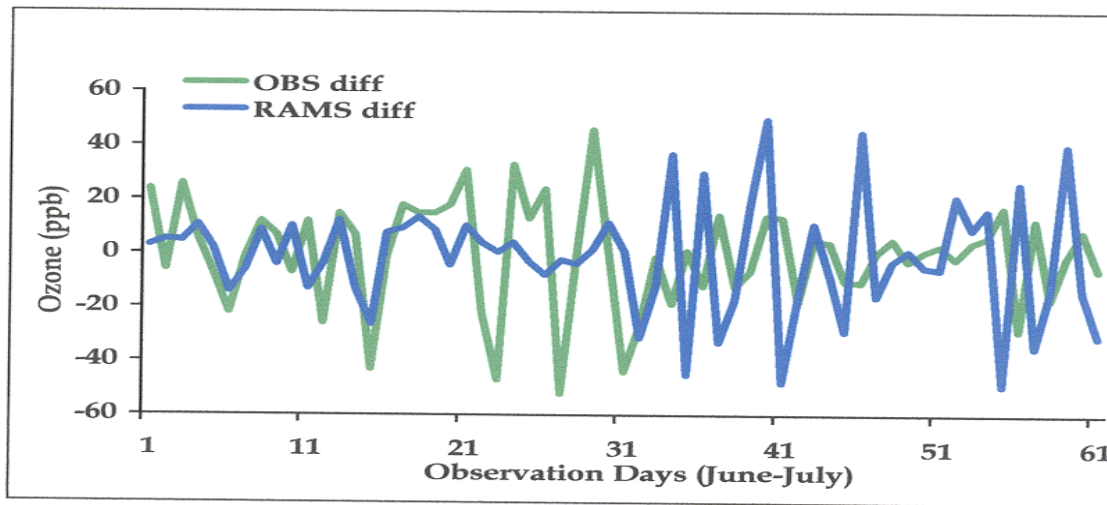


Figure 9.5. Transport (RAMS Tomorrow - RAMS Today) Versus Daily Changes in Observed Ozone for Atlanta 1995-1996 Ozone Data.

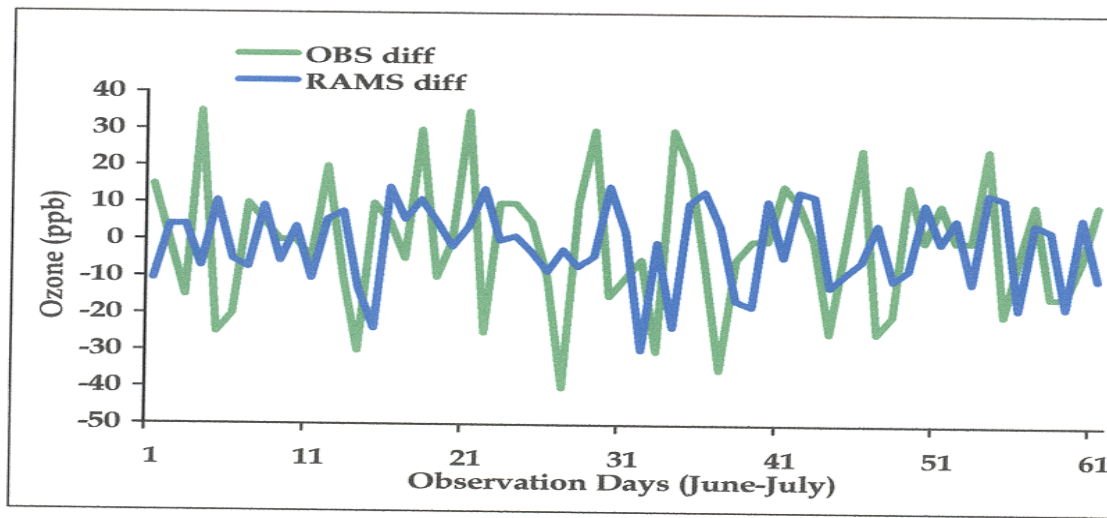


Figure 9.6. Transport (RAMS Tomorrow - RAMS Today) Versus Daily Changes in Observed Ozone for Percy Priest (Nashville) 1995-1996 Ozone Data.

Table 9.1. Coefficient of Determination for Transport Analyses. Transport = RAMS Forecasted Ozone Tomorrow - RAMS Forecasted Today.

MODEL DEVELOPED	Atlanta R²	Fairfield R²	Edmond R²	Percy R²
1995 RAMS vs. OBSERVED	0.497	0.0602	0.157	0.317
1995 TRANSPORT vs. DIFFERENCE IN OBSERVED	0.153	0.0171	0.00200	0.00539
1996 RAMS vs. OBSERVED	0.467	0.215	0.158	0.00854
1996 TRANSPORT vs. DIFFERENCE IN OBSERVED	0.121	0.00863	0.00198	0.00487
1995-1996 RAMS vs. OBSERVED	0.359	0.0487	0.315	0.313
1995-1996 TRANSPORT vs. DIFFERENCE IN OBSERVED	6.337E-05	0.00766	0.0172	0.00223

**Real-time Air Quality Forecasting
Using MM5 and MAQSIP for the
Birmingham, Alabama Area**

Joint Program Between

University of Alabama in Huntsville

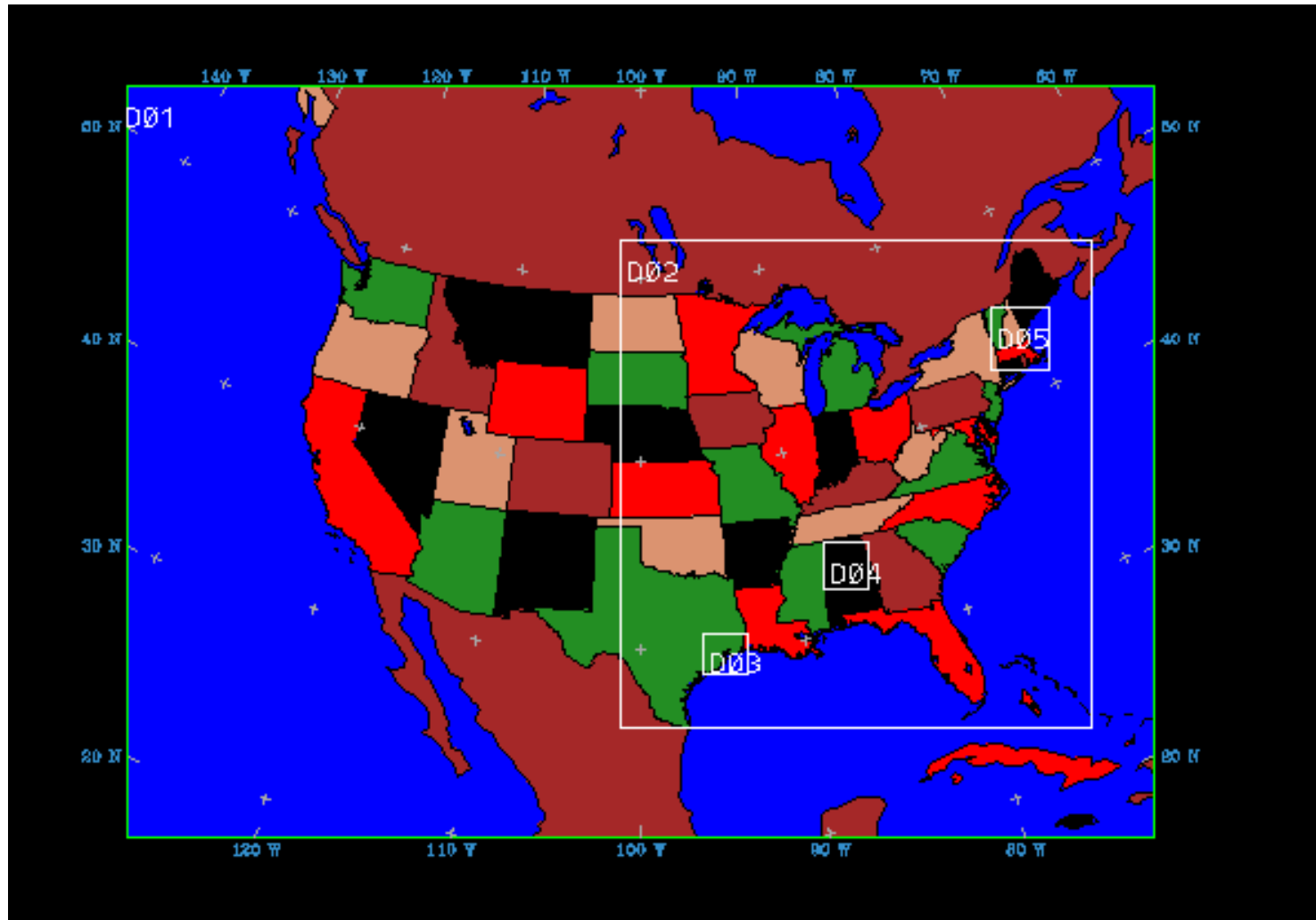
MCNC Environmental Modeling Center

State of Alabama

U.S. EPA

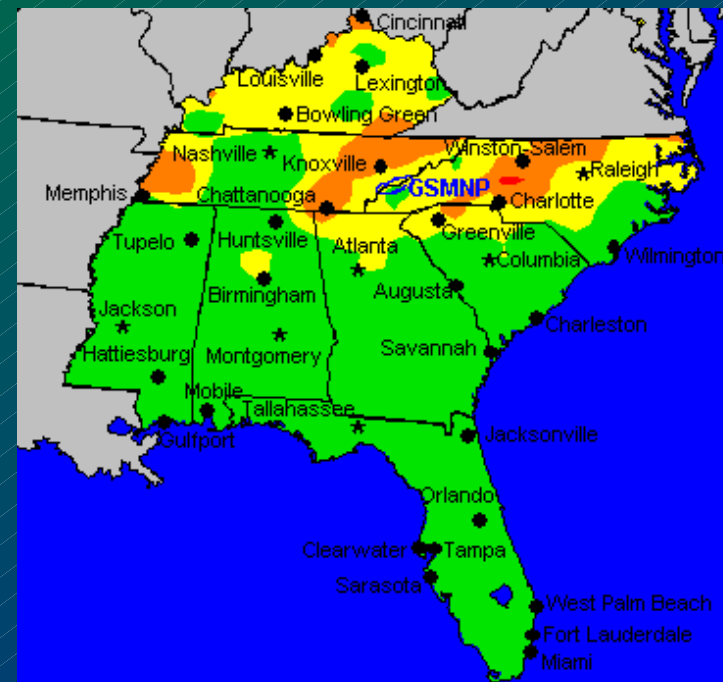
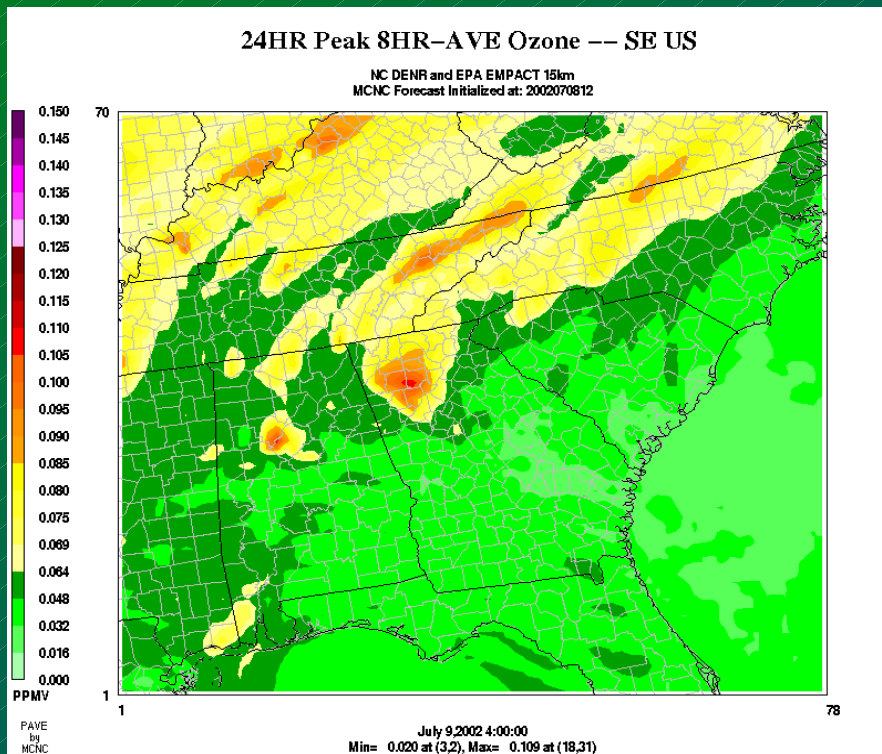
- Models used:
 - *MAQSIP-RT Photochemical Model*
 - Improved treatment of cloud attenuation effects.
 - New: Activated AIRNOW ozone monitor data assimilation system to initialize MAQSIP-RT
 - *Sparse-Matrix Operator Kernel Emissions (SMOKE)*
 - BEIS-3, NET-99 Point/Area, Mobile-5
 - All emissions *online* including point-source specific plume rise
 - *PSU/NCAR MM5V3.4 initialized with NCEP/Eta Analysis*

- MM5 Domains: 45/15/5 km



MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

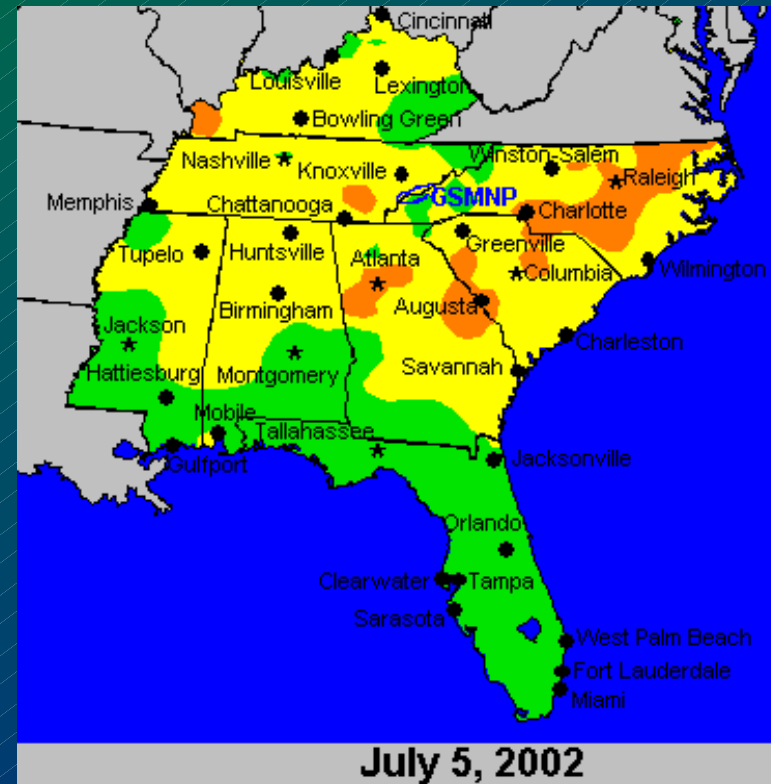
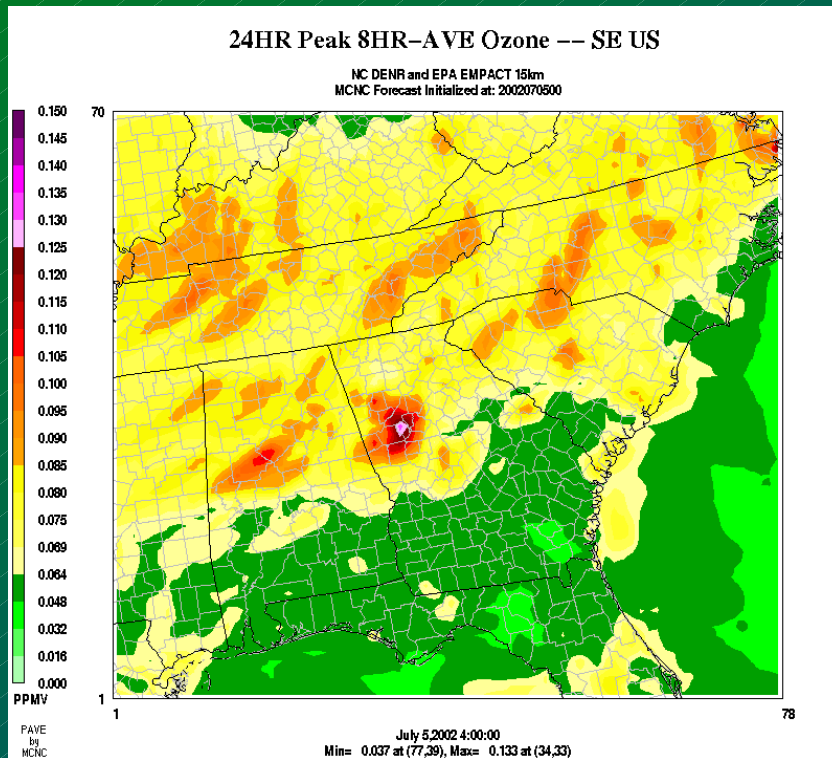
- 15km SE Day 1 Fcst vs Obs: July 9



July 9, 2002

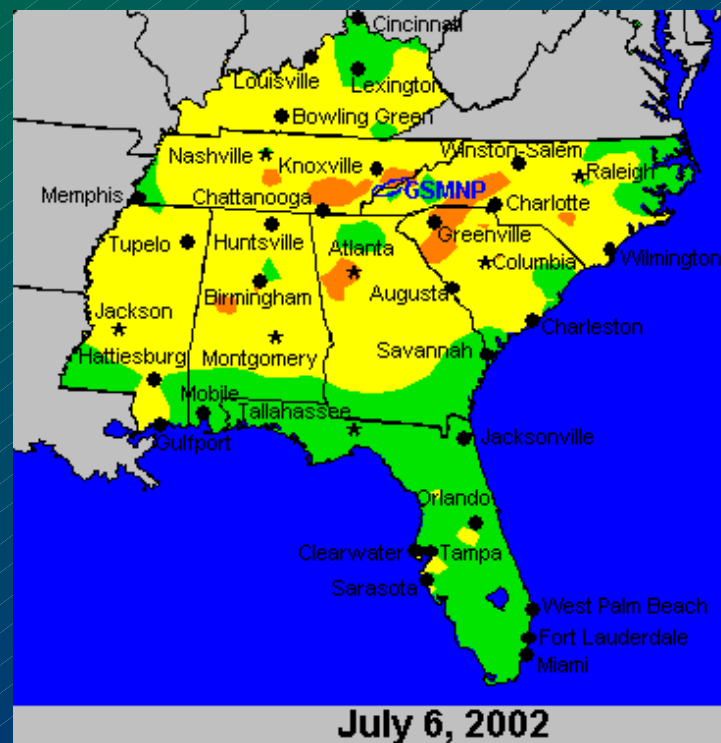
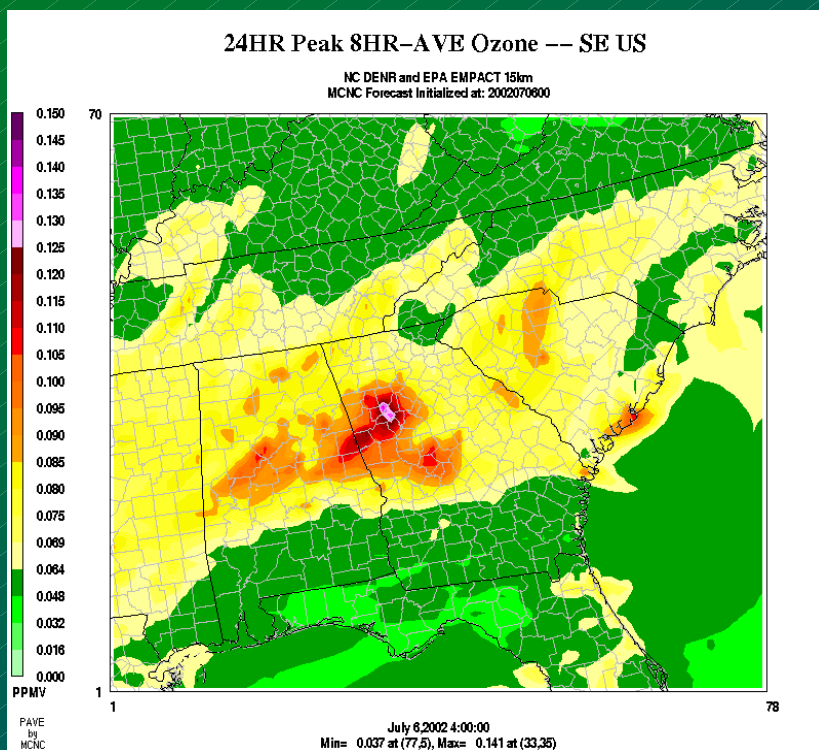
MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

- 15km SE Day 1 Fcst vs Obs: July 5



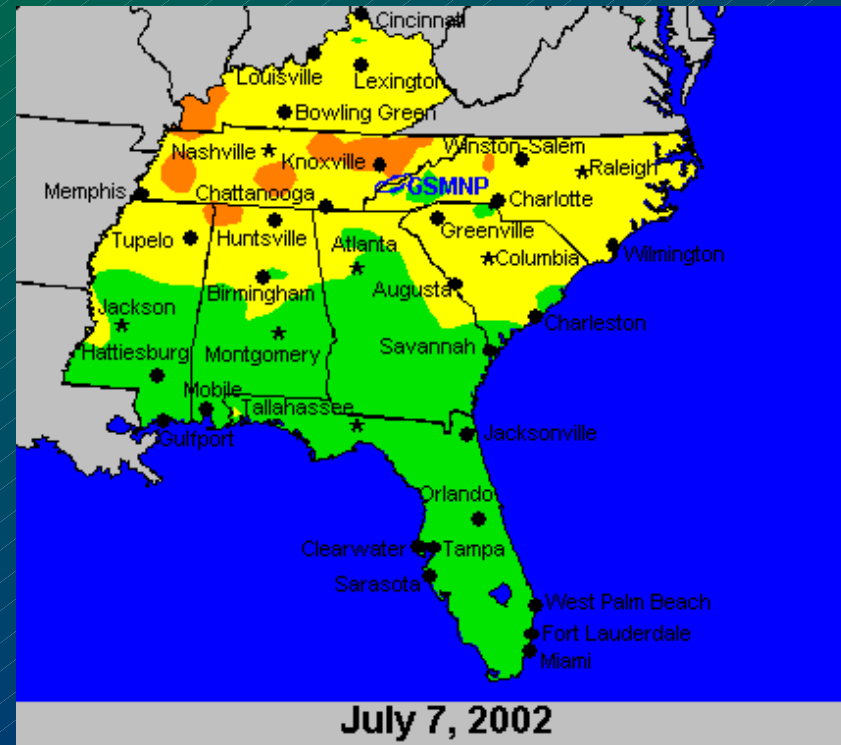
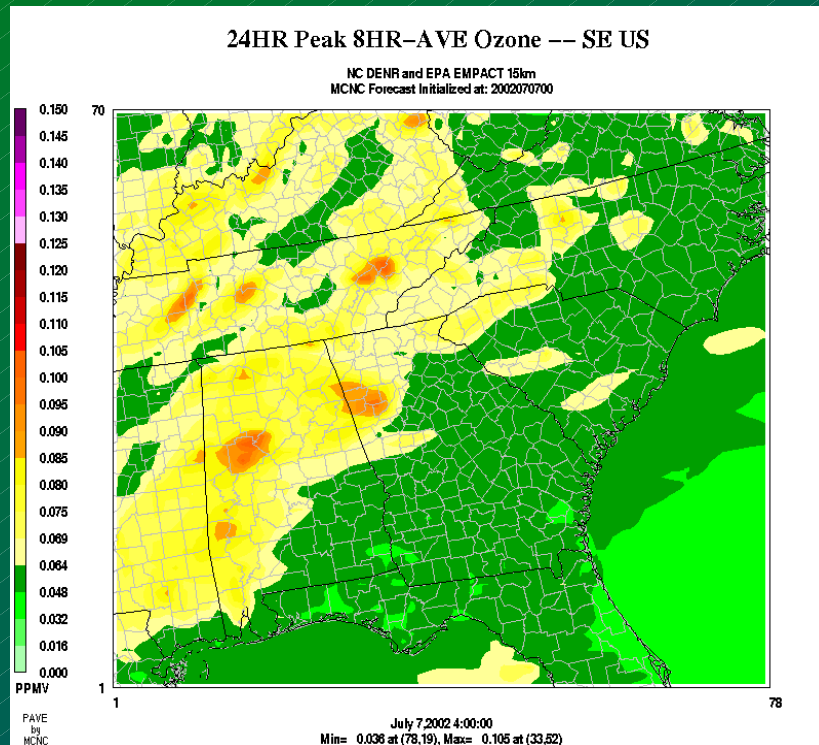
MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

- 15km SE Day 1 Fcst vs Obs: July 6



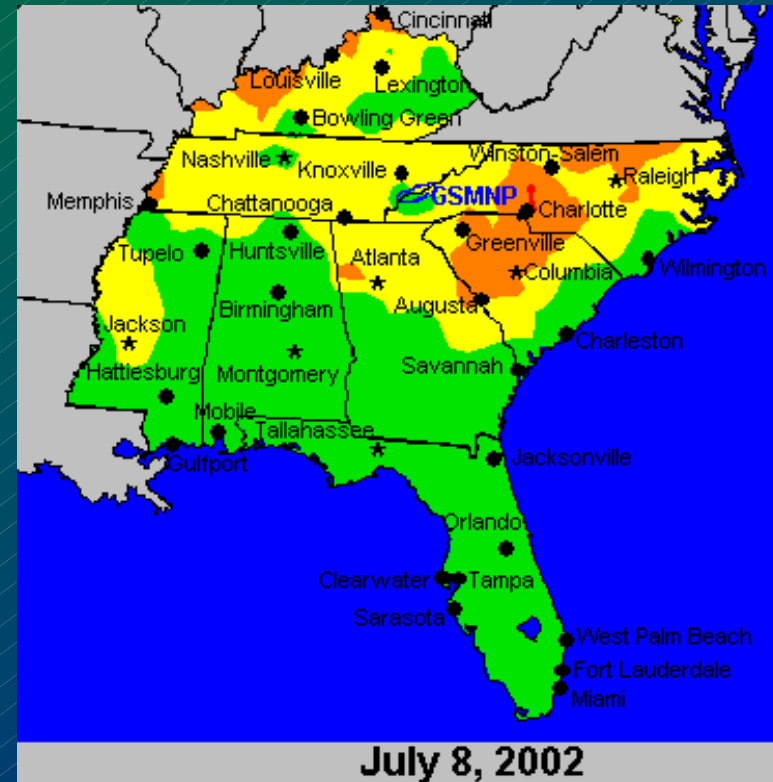
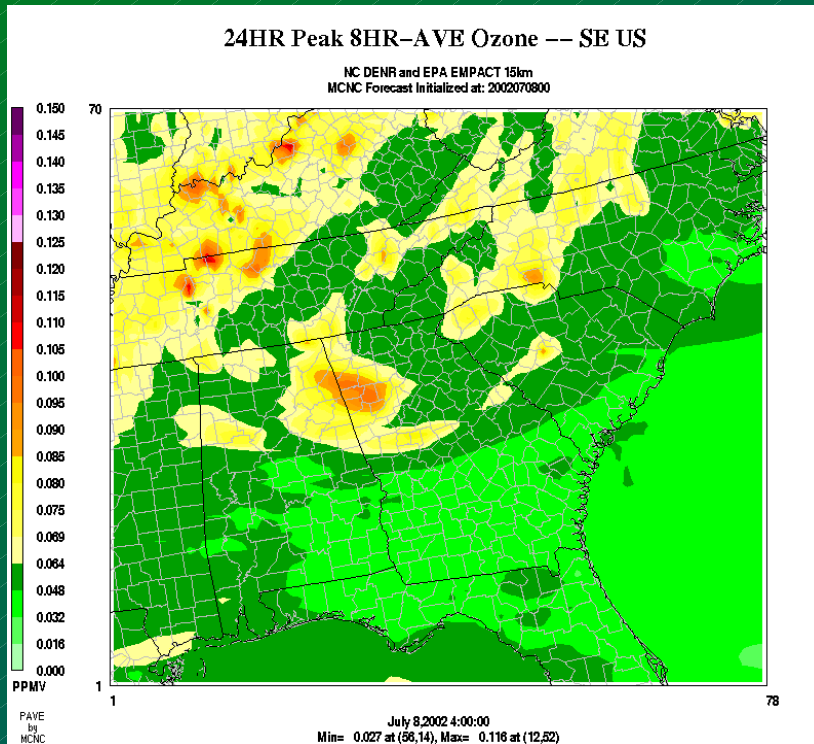
MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

- 15km SE Day 1 Fcst vs Obs: July 7



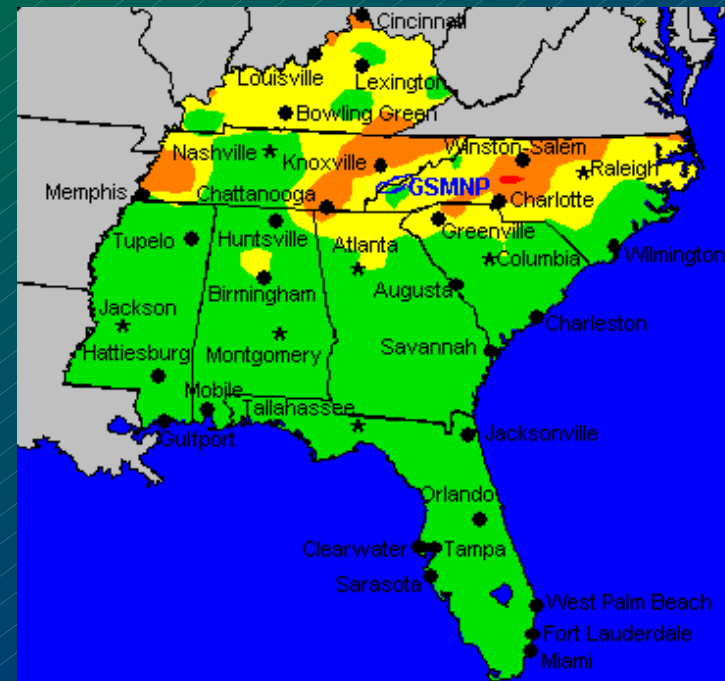
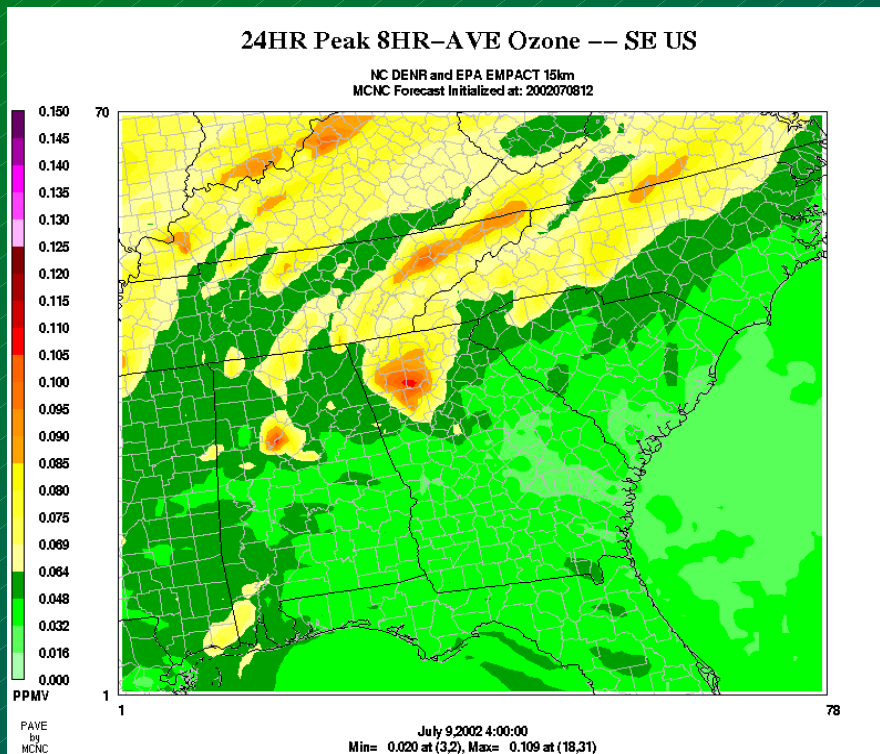
MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

- 15km SE Day 1 Fcst vs Obs: July 8



MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

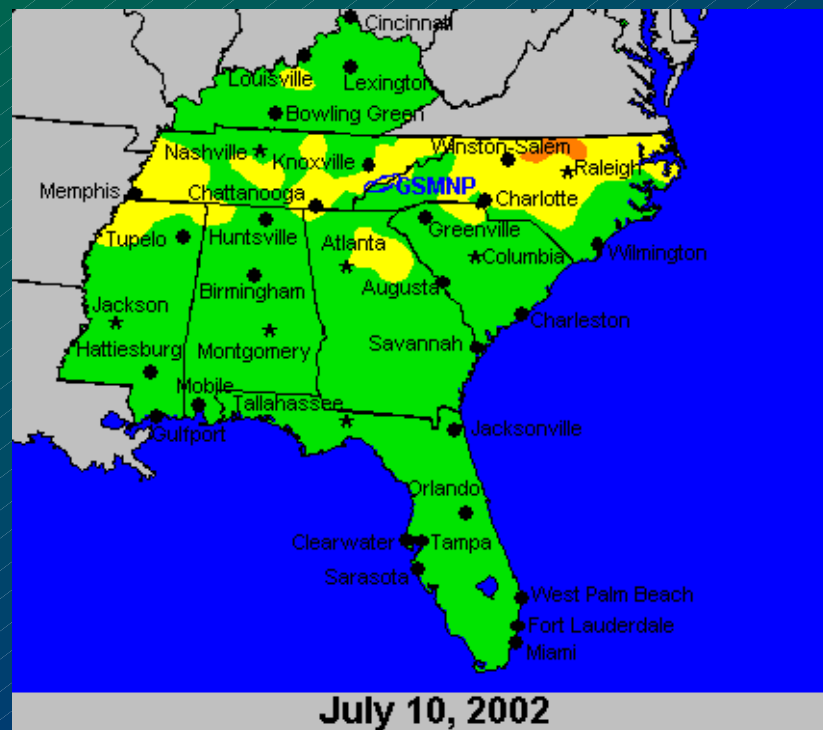
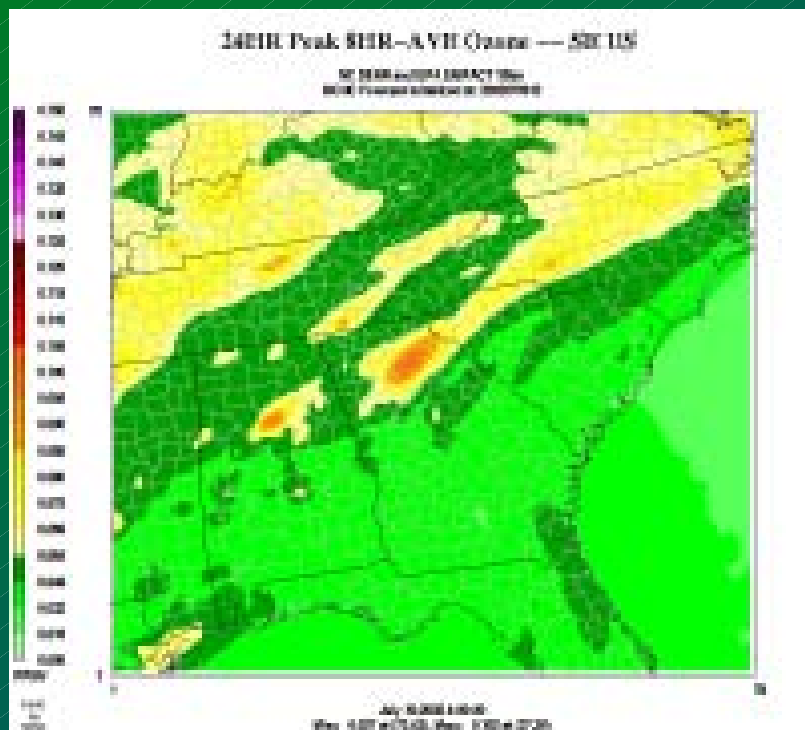
- 15km SE Day 1 Fcst vs Obs: July 9



July 9, 2002

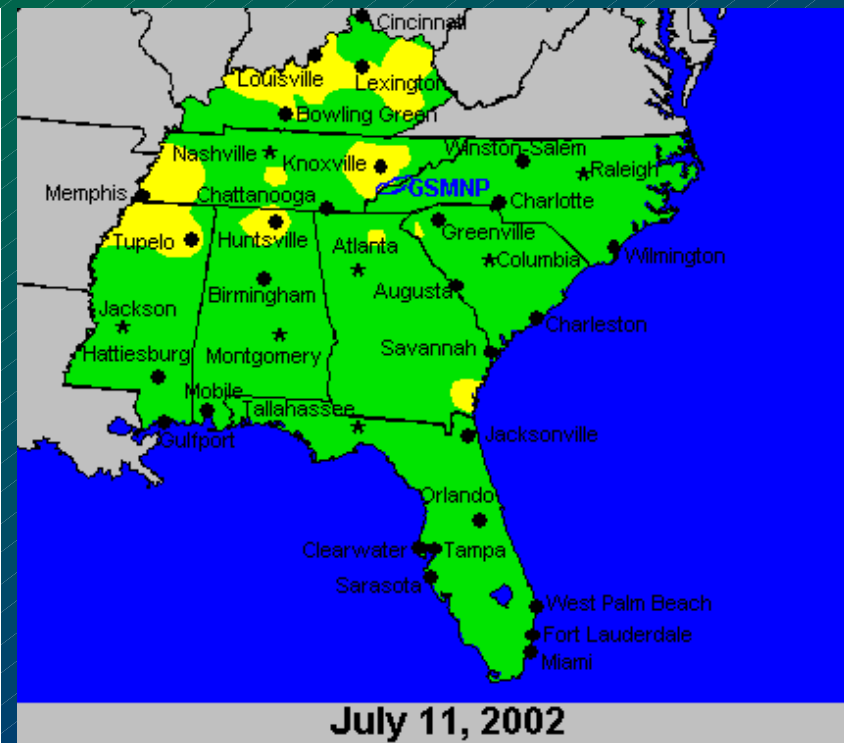
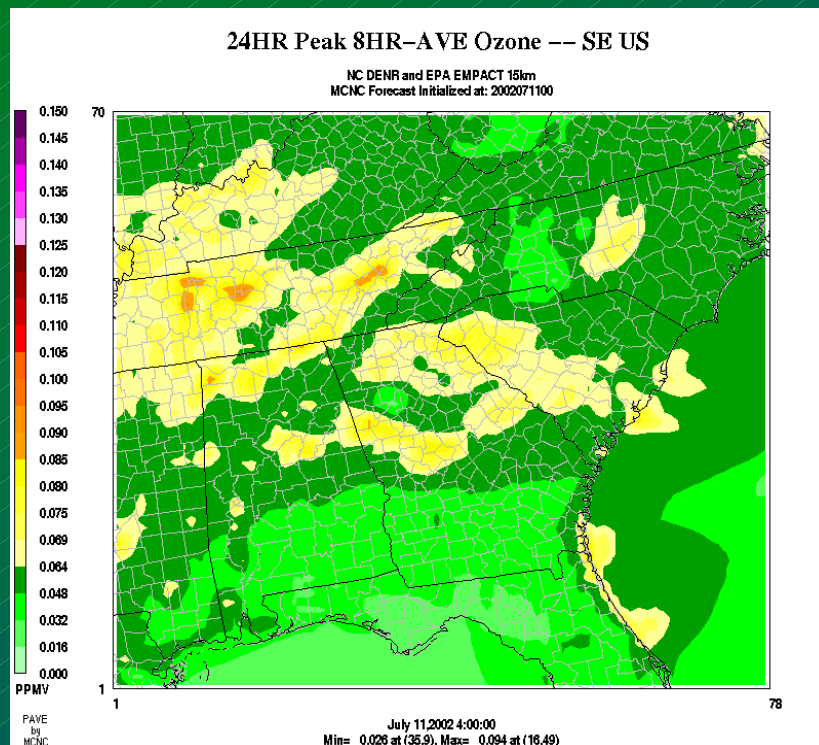
MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

- 15km SE Day 1 Fcst vs Obs: July 10



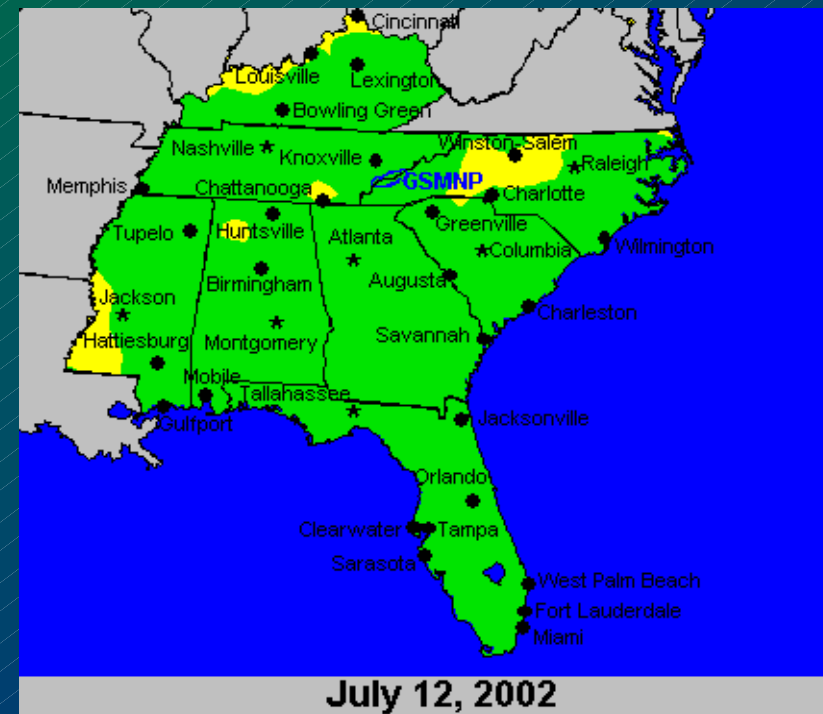
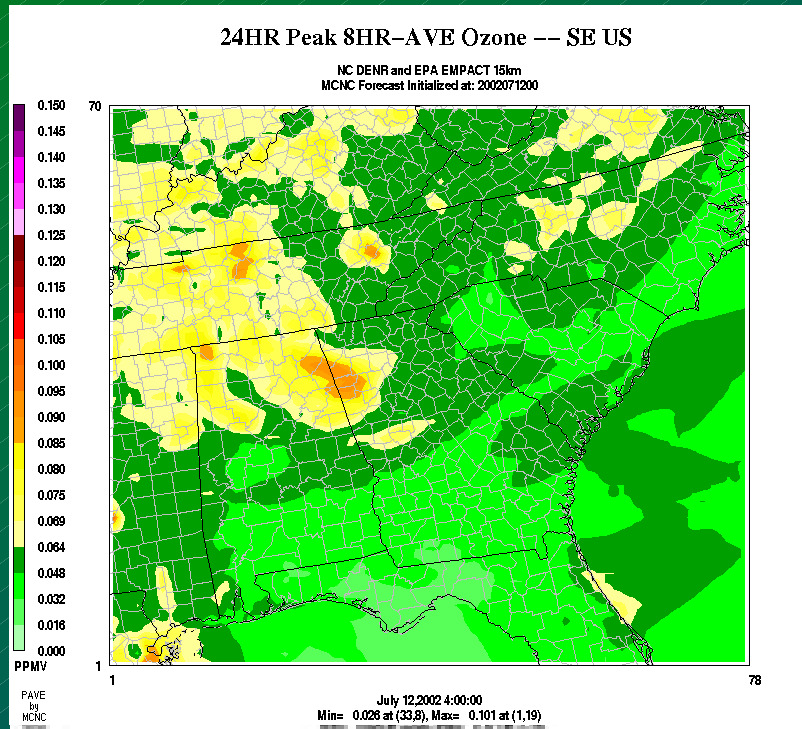
MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

- 15km SE Day 1 Fcst vs Obs: July 11



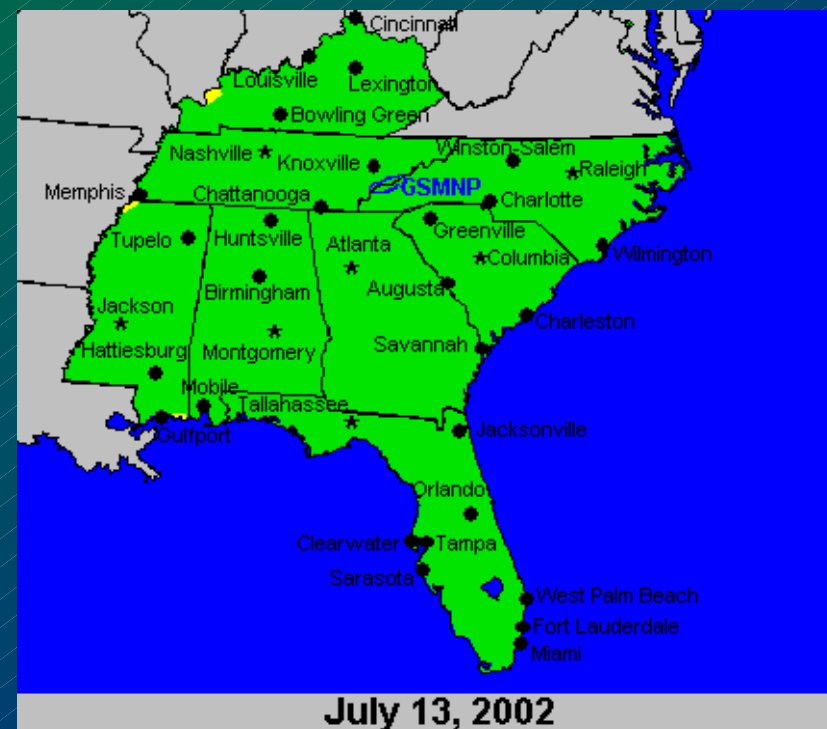
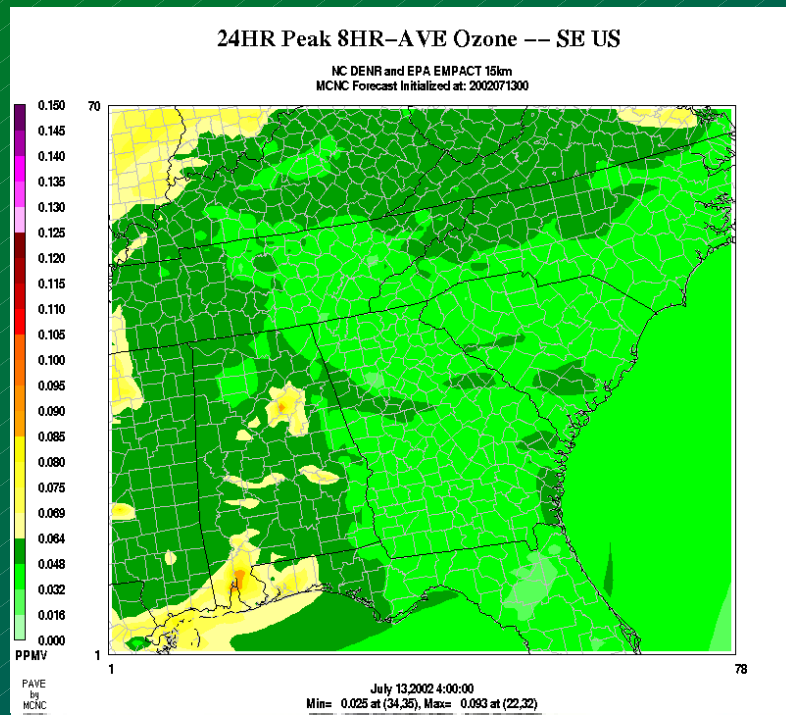
MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

- 15km SE Day 1 Fcst vs Obs: July 12



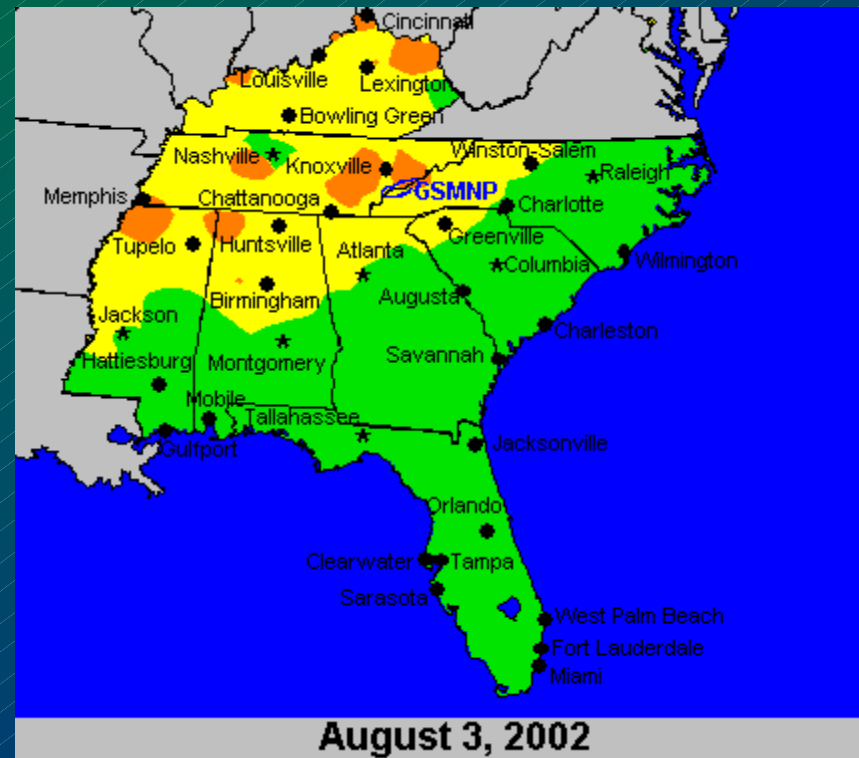
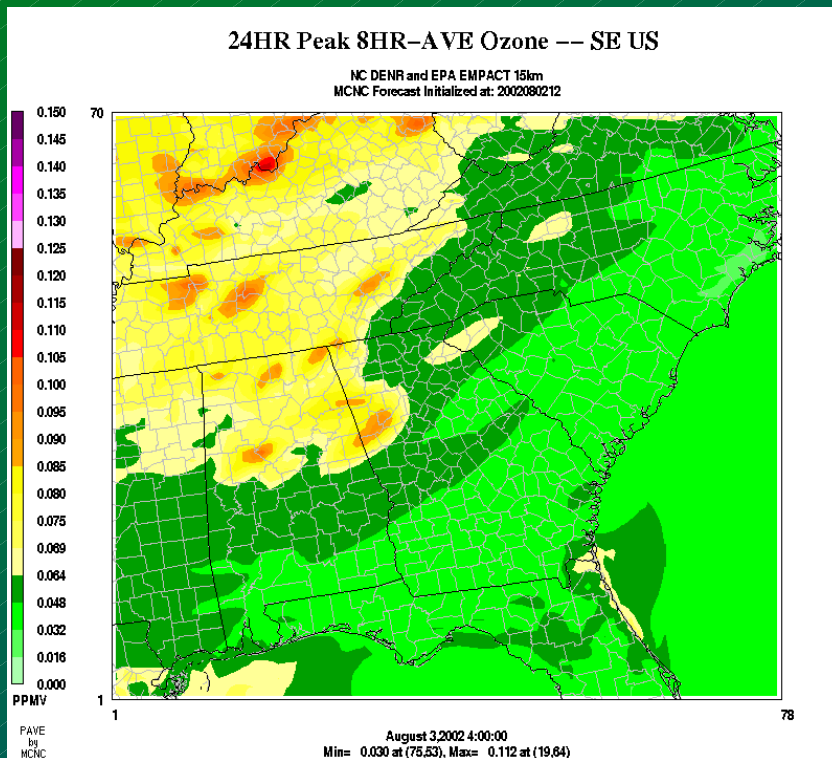
MAQSIP-RT Forecasts, 2002: SE and Alabama—July 5-13 SE US

- 15km SE Day 1 Fcst vs Obs: July 13



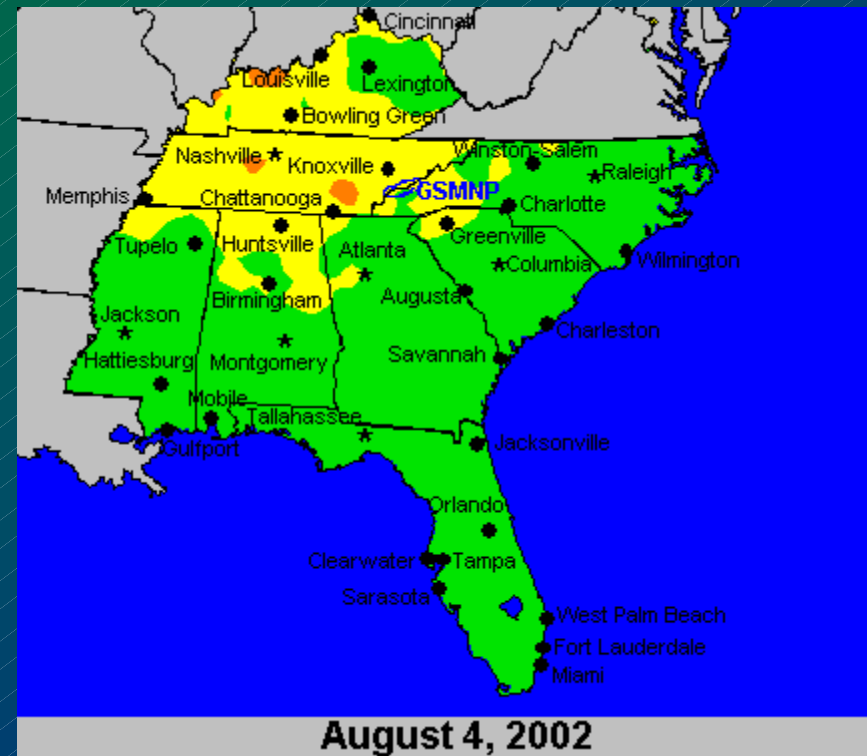
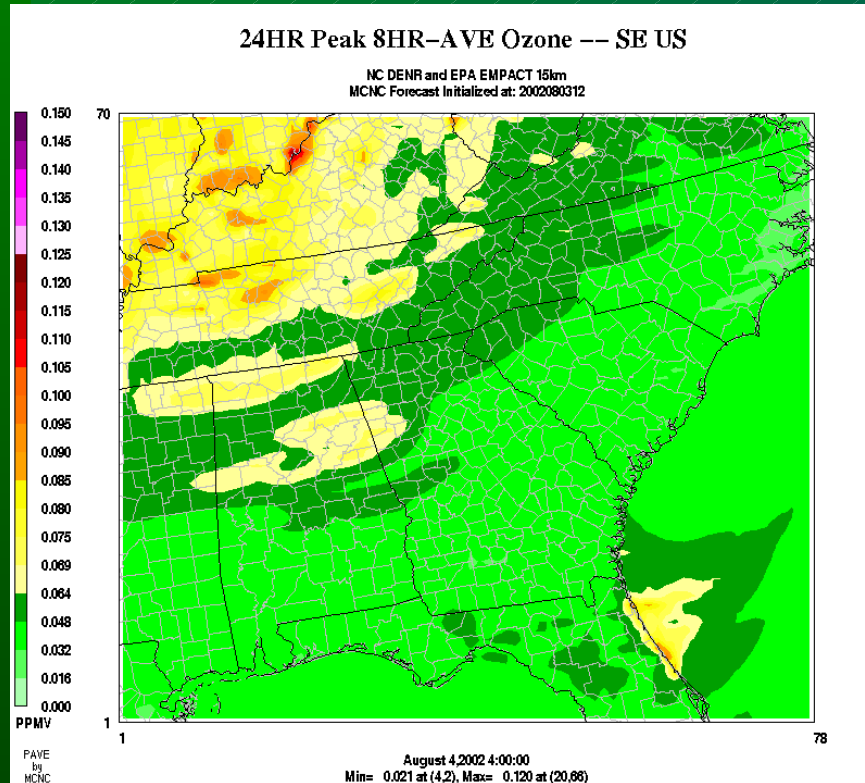
MAQSIP-RT Forecasts, 2002: SE and Alabama—Aug 2-10 SE US

- 15km SE Day 2 Fcst vs Obs: Aug 3



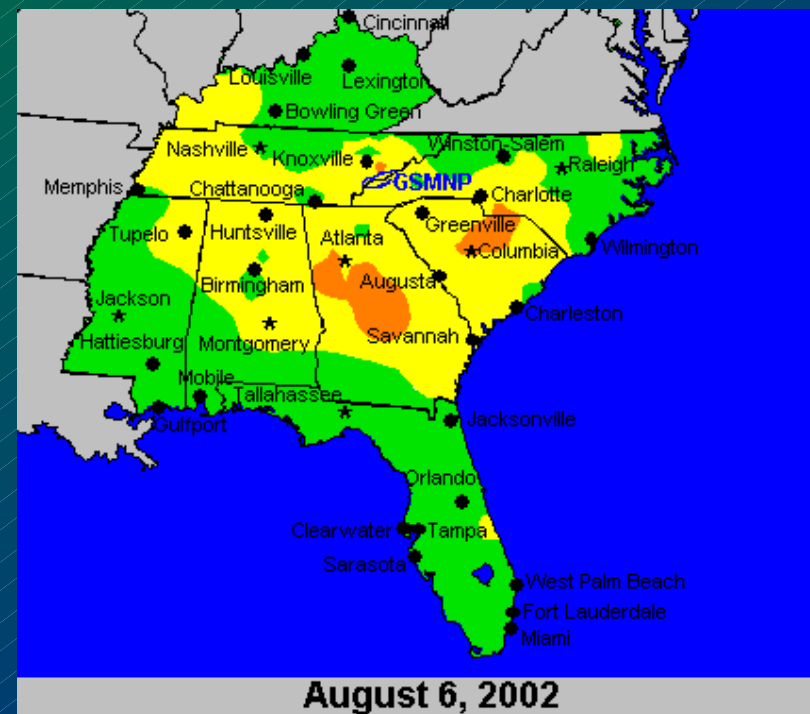
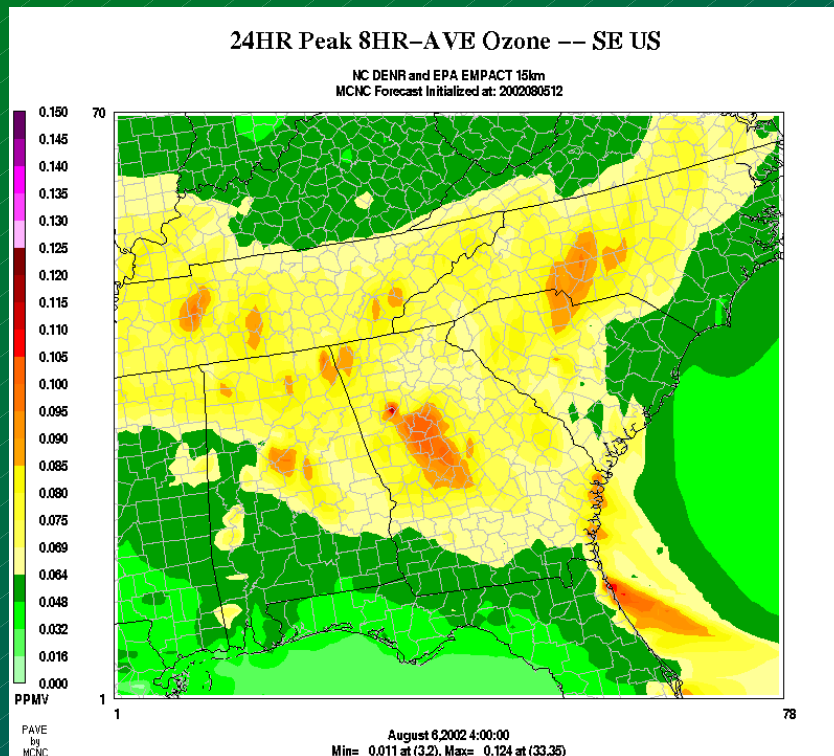
MAQSIP-RT Forecasts, 2002: SE and Alabama—Aug 2-10 SE US

- 15km SE Day 2 Fcst vs Obs: Aug 4



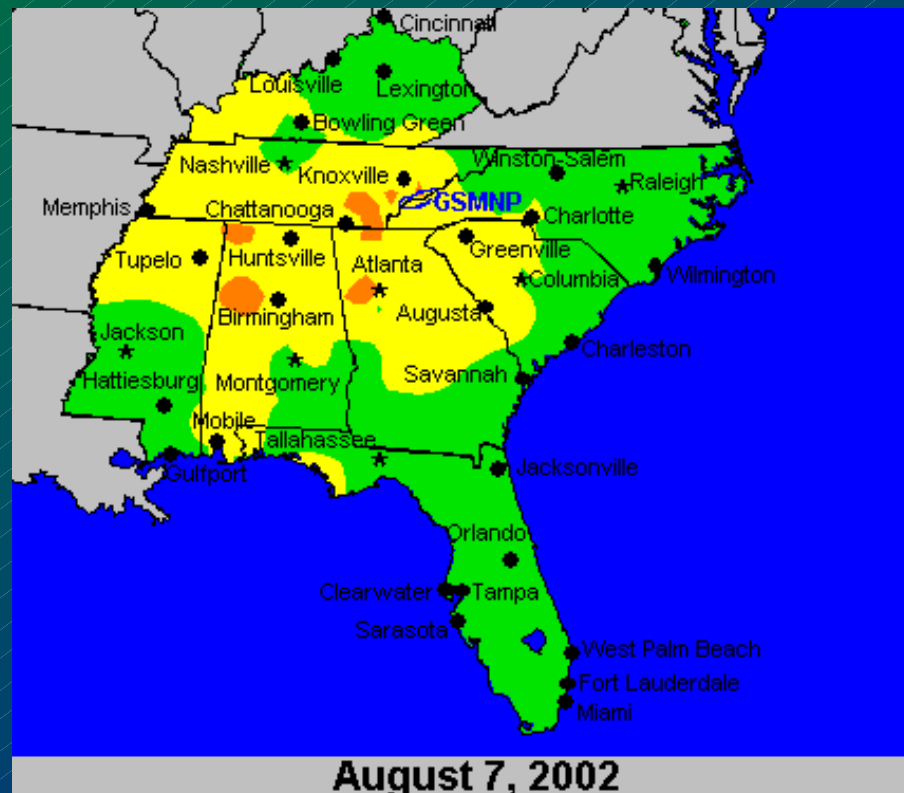
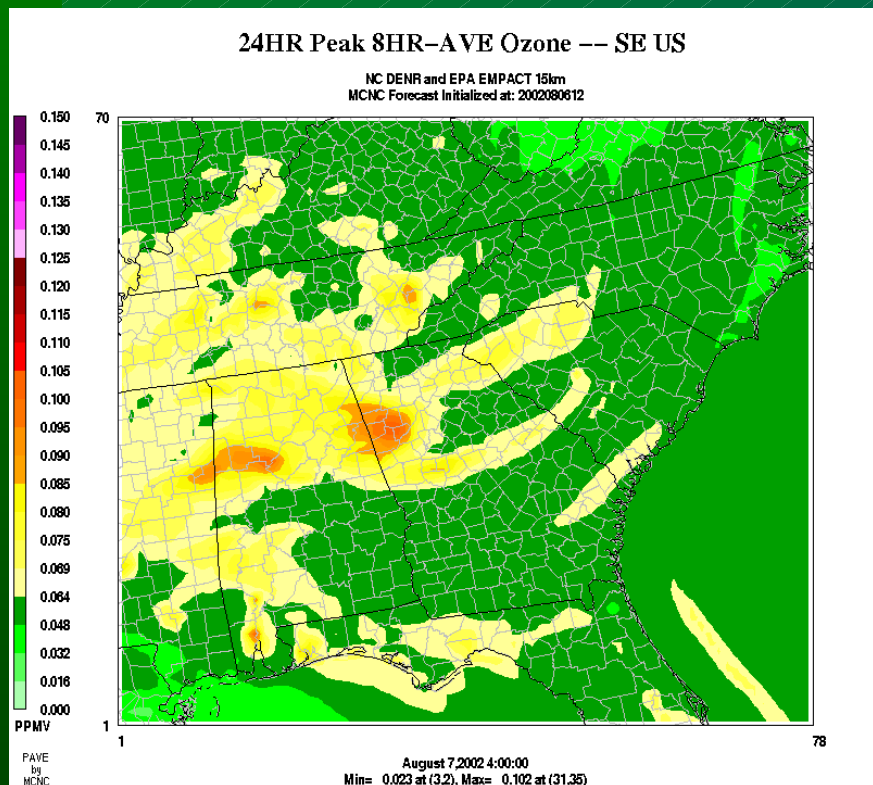
MAQSIP-RT Forecasts, 2002: SE and Alabama—Aug 2-10 SE US

- 15km SE Day 2 Fcst vs Obs: Aug 6



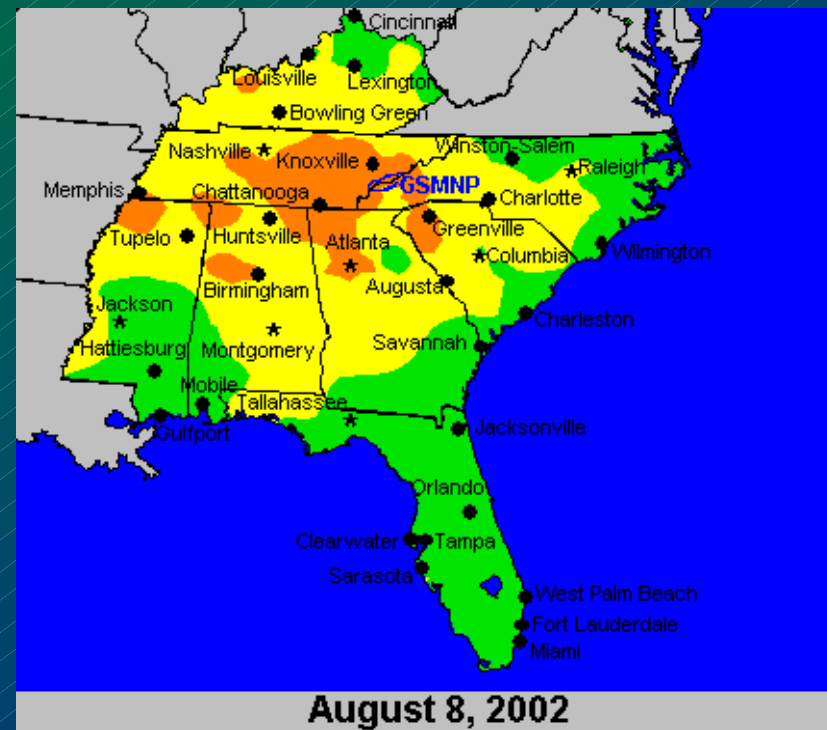
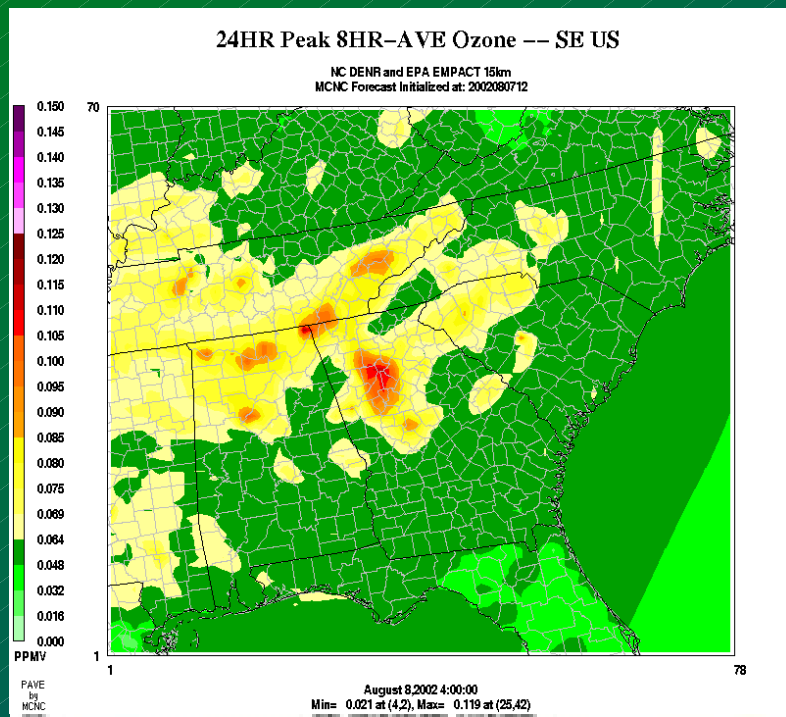
MAQSIP-RT Forecasts, 2002: SE and Alabama—Aug 2-10 SE US

- 15km SE Day 2 Fcst vs Obs: Aug 7



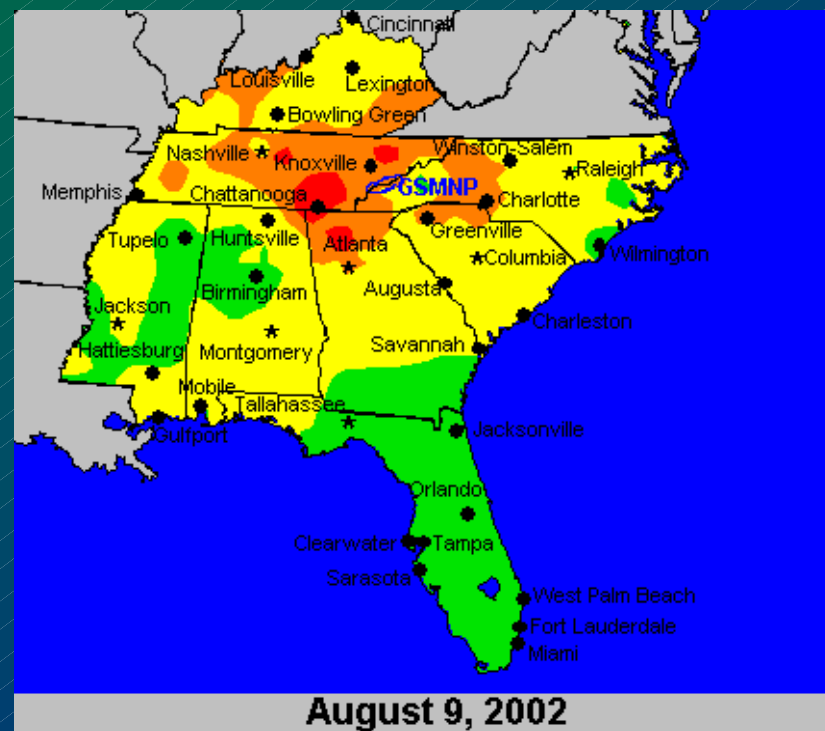
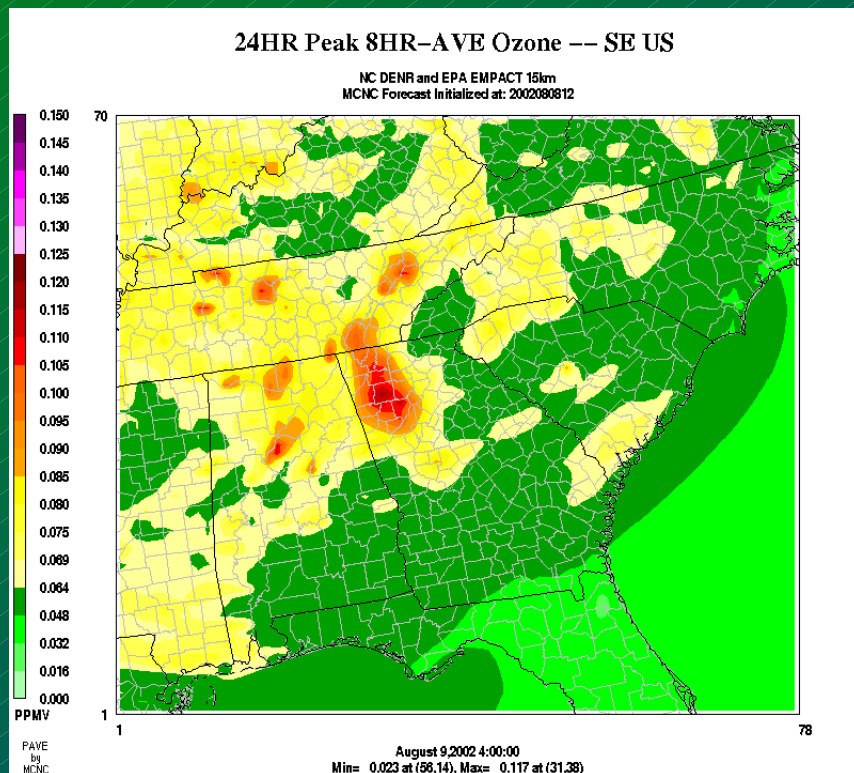
MAQSIP-RT Forecasts, 2002: SE and Alabama—Aug 2-10 SE US

- 15km SE Day 2 Fcst vs Obs: Aug 8



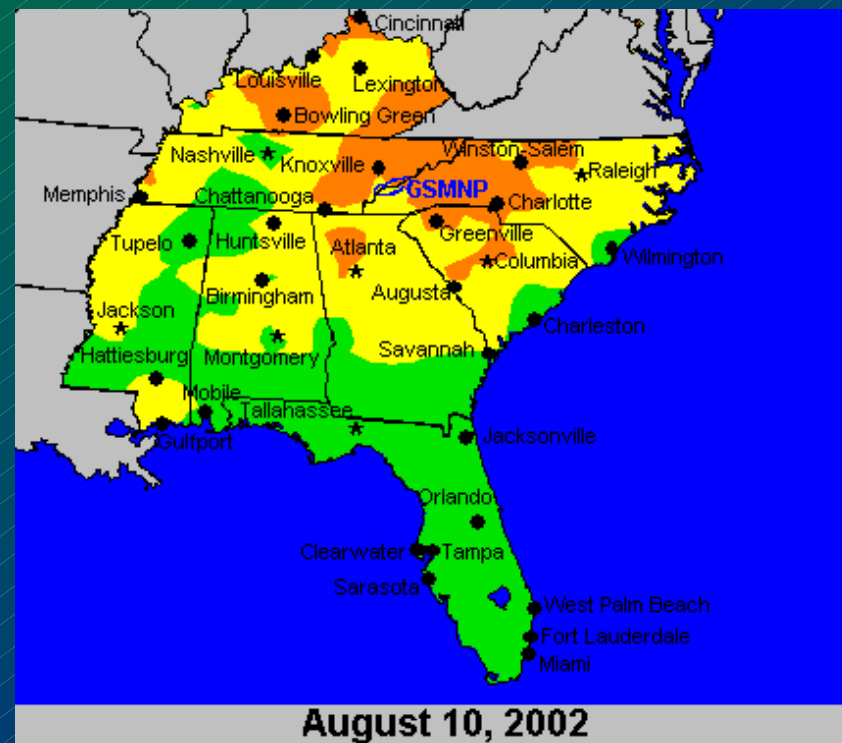
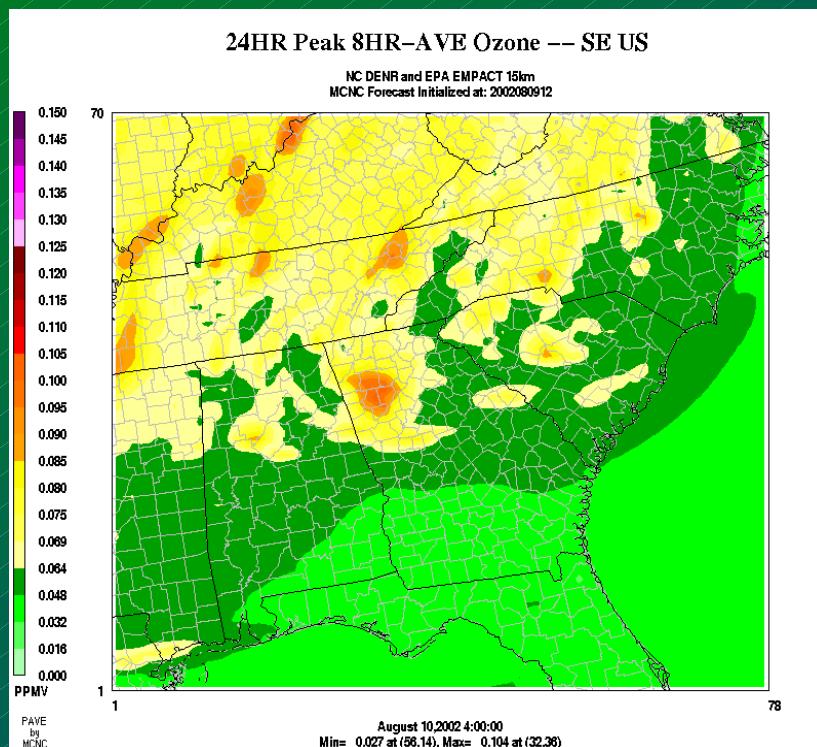
MAQSIP-RT Forecasts, 2002: SE and Alabama—Aug 2-10 SE US

- 15km SE Day 2 Fcst vs Obs: Aug 9



MAQSIP-RT Forecasts, 2002: SE and Alabama—Aug 2-10 SE US

- 15km SE Day 2 Fcst vs Obs: Aug 10



		MAQSIP-RT Predictions			Regression	CART	ADEM	Observed
		1 Day	1 Day(00z)	2 Day(12z)	Equation	Tool	Published	Bham
		5km	15km	45km	Forecast	Forecast	Forecast	Peak O3
Date	Day	8hr Cat	8hr Cat	8hr Cat	8hr Cat	8hr Cat	8hr Cat	8hr Cat
6/26/2002	Wed		1		1	1	1	1
6/27/2002	Thu		1	1	1	1	1	1
6/28/2002	Fri		1	1	1	1	1	1
6/29/2002	Sat		2	2	1	1	1	1
6/30/2002	Sun		3	3	1	1	1	1
7/1/2002	Mon		4	4	2	2	2	3
7/2/2002	Tue		3	3	2	2	2	1
7/3/2002	Wed		3	3	2	2	2	2
7/4/2002	Thu	2	3	3	2	2	2	2
7/5/2002	Fri	3	4	3	2	2	3	2
7/6/2002	Sat	3	2	4	2	2	3	3
7/7/2002	Sun	2	2	2	2	2	2	2
7/8/2002	Mon	2	2	2	2	1	3	1
7/9/2002	Tue	2	2	2	2	2	2	2
7/10/2002	Wed		3	3	2	2	2	1
7/11/2002	Thu	2	2	3	2	1	2	1
7/12/2002	Fri			2	1	1	1	1
7/13/2002	Sat				1	1	1	1
7/14/2002	Sun	2	2		1	1	1	1
7/15/2002	Mon	2	3	2	1	1	1	1
7/16/2002	Tue			3	2	1	2	1
7/17/2002	Wed				1	1	2	1
7/18/2002	Thu				2	1	2	1

Green

0-64ppb

Yellow

65-88ppb

Orange

85-104ppb

Red

105-124ppb

		MAQSIP-RT Predictions			Regression	CART	ADEM	Observed
		1 Day	1 Day(00z)	2 Day(12z)	Equation	Tool	Published	Bham
		5km	15km	45km	Forecast	Forecast	Forecast	Peak O3
Date	Day	8hr Cat	8hr Cat	8hr Cat	8hr Cat	8hr Cat	8hr Cat	8hr Cat
7/19/2002	Fri				1	2	1	1
7/20/2002	Sat				1	1	1	1
7/21/2002	Sun				1	2	1	2
7/22/2002	Mon	3	3		2	2	2	2
7/23/2002	Tue	3	3	3	2	2	2	2
7/24/2002	Wed	2	3	3	2	1	2	1
7/25/2002	Thu		2	2	1	1	1	1
7/26/2002	Fri	1	2	1	1	1	1	2
7/27/2002	Sat			1	1	1	1	1
7/28/2002	Sun	1	2		1	1	2	1
7/29/2002	Mon	1	2	2	1	1	1	1
7/30/2002	Tue	2	2	2	1	1	1	1
7/31/2002	Wed	1	1	2	1	1	1	1
8/1/2002	Thu	1	2	2	1	2	1	1
8/2/2002	Fri		3	2	2	2	2	2
8/3/2002	Sat			2	2	2	3	3
8/4/2002	Sun				2	2	2	2
8/5/2002	Mon	2	2		2	3	2	1
8/6/2002	Tue			3	2	2	2	3
8/7/2002	Wed	2	2	2	2	2	3	3
8/8/2002	Thu				2	2	3	3
8/9/2002	Fri				2	2	3	2

		MAQSIP-RT Predictions			Regression	CART	ADEM	Observed
		1 Day	1 Day(00z)	2 Day(12z)	Equation	Tool	Published	Bham
		5km	15km	45km	Forecast	Forecast	Forecast	Peak O3
Date	Day	8hr Cat	8hr Cat	8hr Cat	8hr Cat	8hr Cat	8hr Cat	8hr Cat
8/10/2002	Sat			2	2	2	2	2
8/11/2002	Sun	2	2		2	2	2	2
8/12/2002	Mon			2	2	3	2	2
8/13/2002	Tue				2	2	2	2
8/14/2002	Wed				1	1	1	1
8/15/2002	Thu				1	1	1	1
8/16/2002	Fri				1	1	1	1
8/17/2002	Sat				1	1	1	1
8/18/2002	Sun				1	1	1	1
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8/20/2002	Tue	2	2	2	1	2	1	1
8/21/2002	Wed	2	3	3	1	2	2	3
8/22/2002	Thu	2	2	2	2	2	2	1
8/23/2002	Fri	1	2	1	1	1	1	2
8/24/2002	Sat			2	1	1	2	2
8/25/2002	Sun	2	2		1	1	2	1
8/26/2002	Mon	2	2	2	1	2	2	2
8/27/2002	Tue	2	2	3	2	2	2	2
8/28/2002	Wed	2	2	3	2	2	2	2
8/29/2002	Thu	2	2	2	2	2	2	1
8/30/2002	Fri	1	1	1	1	1	1	1
8/31/2002	Sat			1	1	1	1	1

Performance of Tools Used to Predict Maximum One-Hour-Average Ozone Concentrations in the Greater Birmingham Area

June 26 - August 31, 2002

Forecast Tool	Bias*	Std. Error*	Absolute Error*	Valid Values (%)	Missing Values (%)
MAQSIP-RT (5 km)	0.31	0.12	0.56	48	52
MAQSIP-RT (15 km)	0.72	0.12	0.81	64	36
MAQSIP-RT (45 km)	0.64	0.20	0.82	66	34
Regression	-0.04	0.08	0.34	100	0
CART	0.00	0.07	0.30	100	0
ADEM Published	0.15	0.07	0.33	100	0

*Number of AQI categories (positive values indicate overprediction)

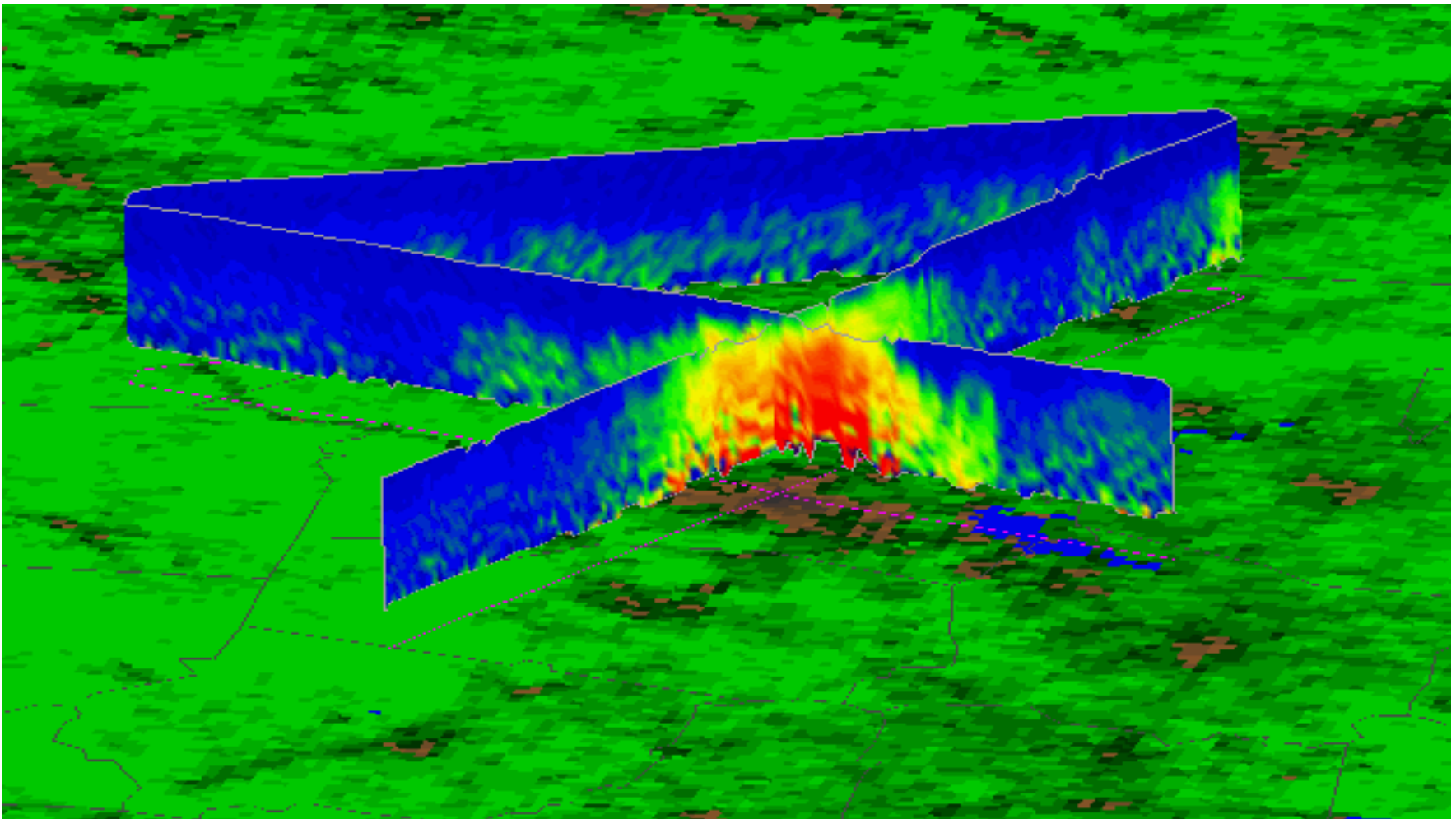
Need for Data Assimilation

- Fundamental problem in air quality forecasting is the lack of complete three-dimensional chemical data to initialize model.**
- Even if some data are available (such as surface ozone) it is not clear how to balance the chemical system with this data.**
- Most current methods simply re-initialize meteorology but keep chemistry on the grid from previous forecasts.**
- Unless ozone is totally dominated by short term production cannot afford to continue to forecast without some connection to reality or forecast errors (in the chemistry) will continue to grow.**

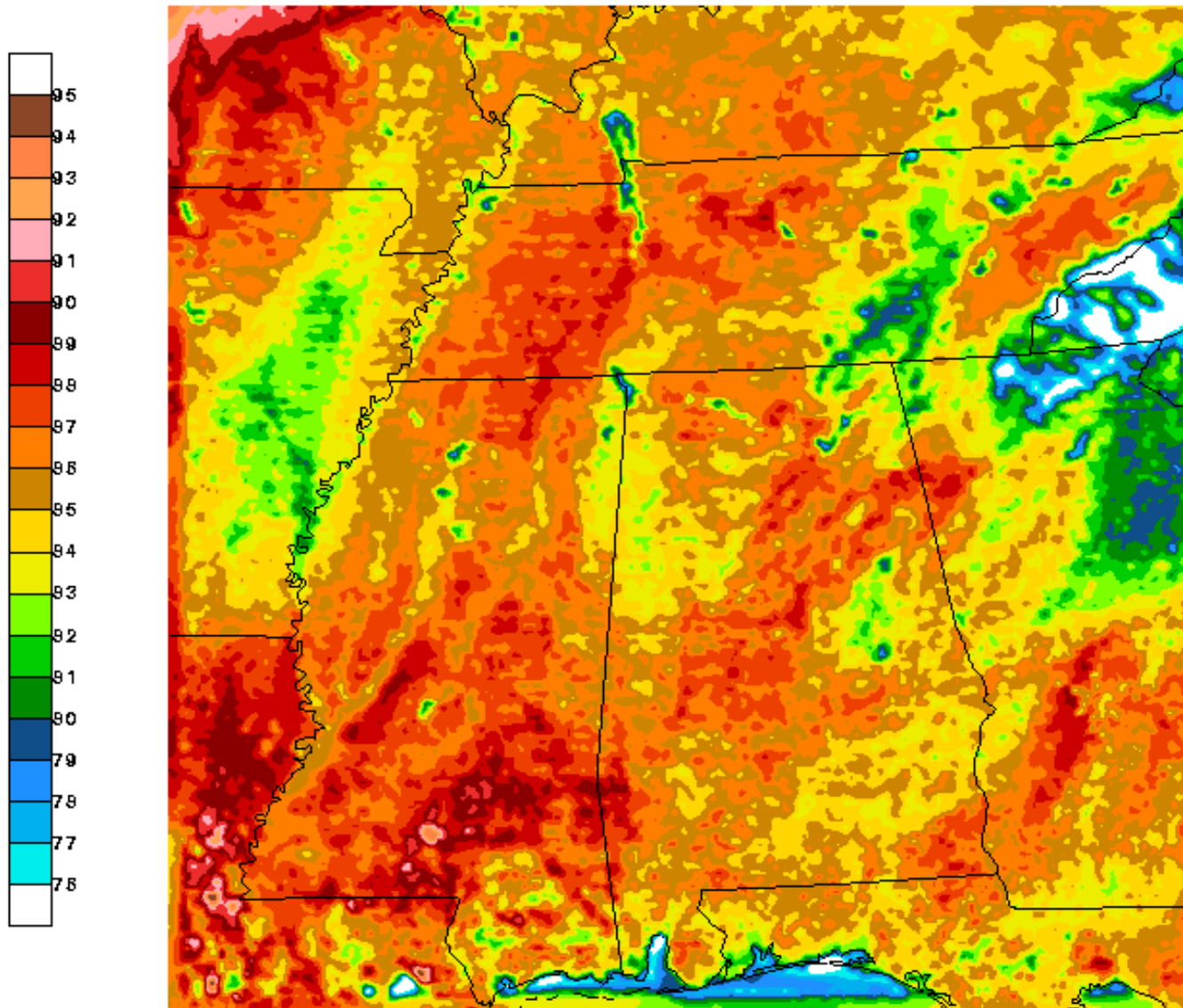
- **One partial solution to this dilemma is to try to minimize forecast errors in the chemistry through a physical data assimilation pre-forecast period**
- **The strategy would be to use all available physical observations from the previous day to constrain the physical atmosphere to be as close as possible to reality.**
- **The chemical forecast would be redone with this new physical atmosphere. This new chemical state would be used as the chemical initial conditions for the next forecast period.**
- **Hopefully, this will minimize the chemical errors**

The following describes a series of satellite data assimilation steps that we have developed to improve the physical atmosphere in a posteriori mode which we believe can be used in the forecast problem.

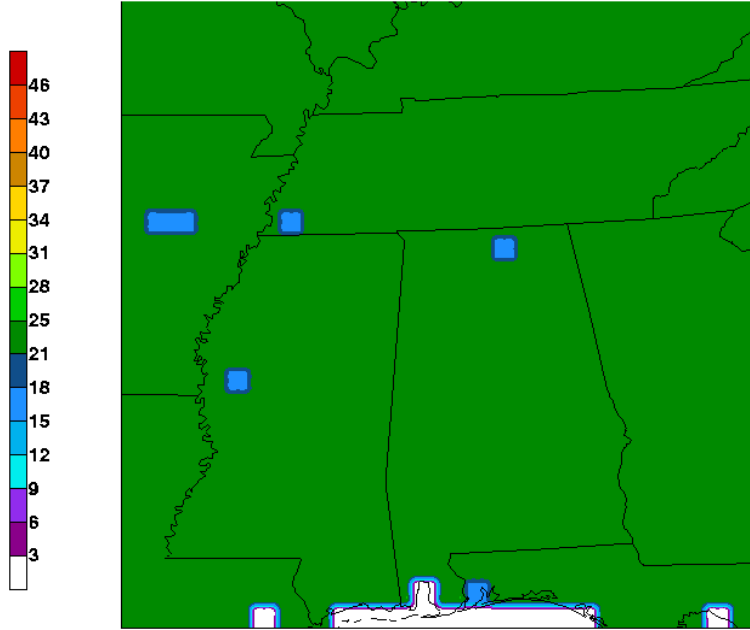
Physical models too smooth. May be due lack of forcing on small scales. Traditional meteorological data sources cannot provide mesoscale information. Satellites have potential to provide this data.



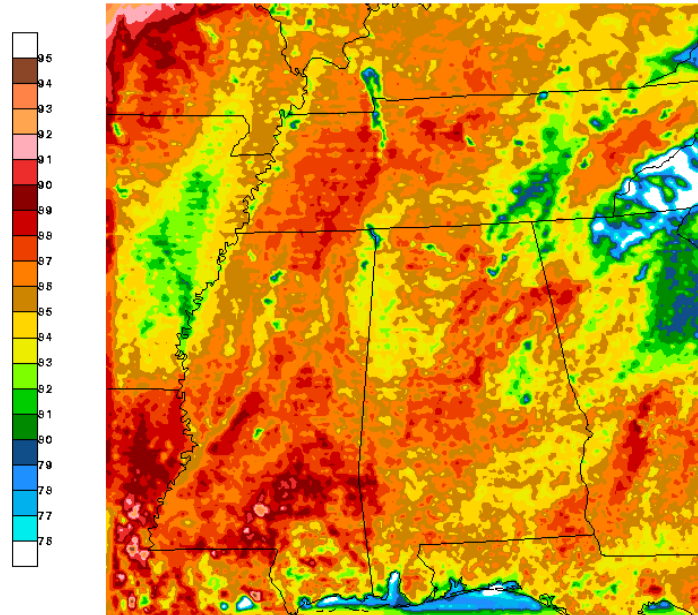
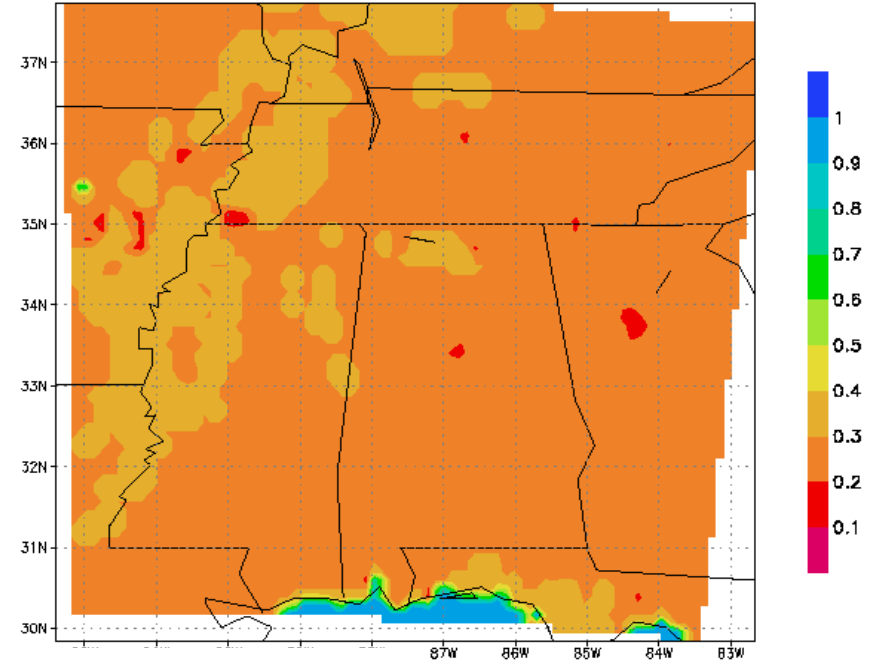
Surface Skin Temperature - September 12, 2002



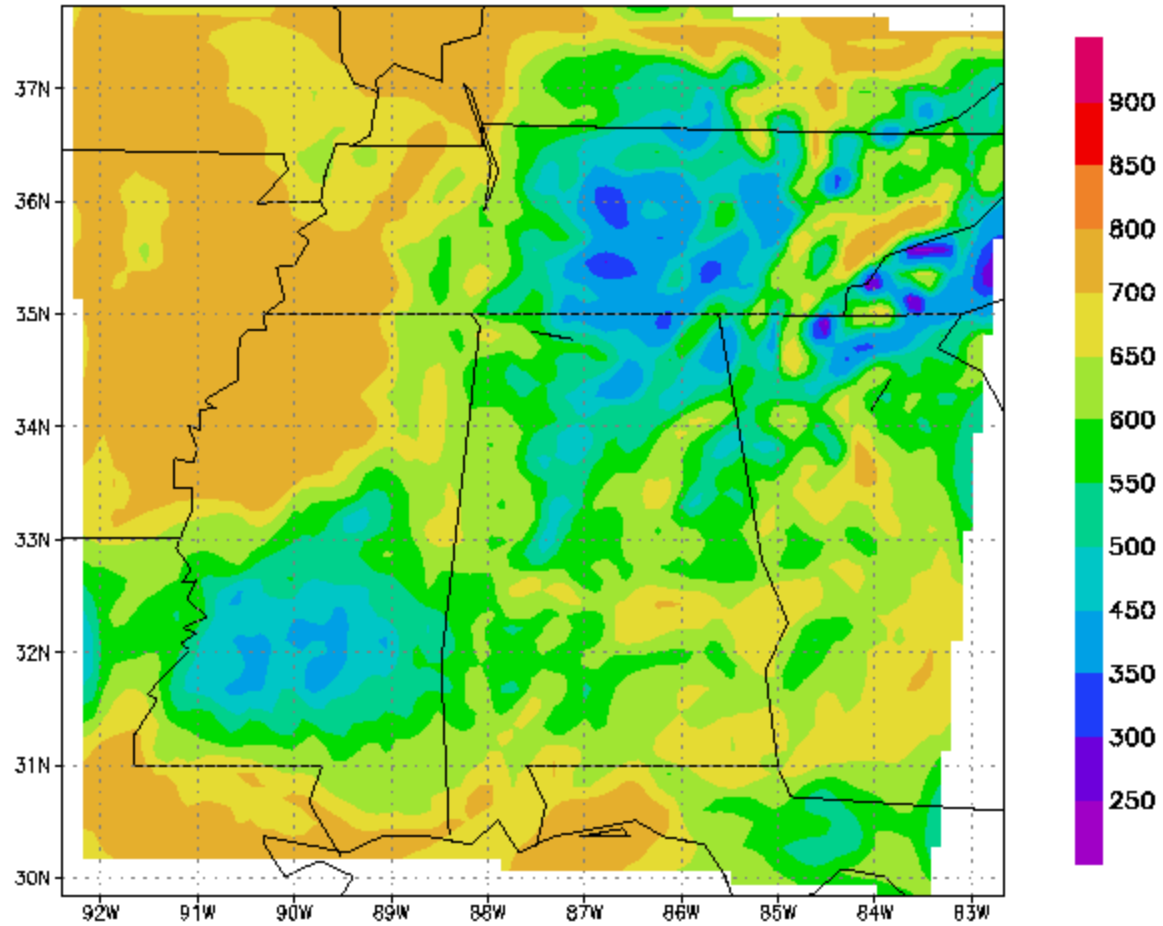
MM5 Landuse Heat Capacity



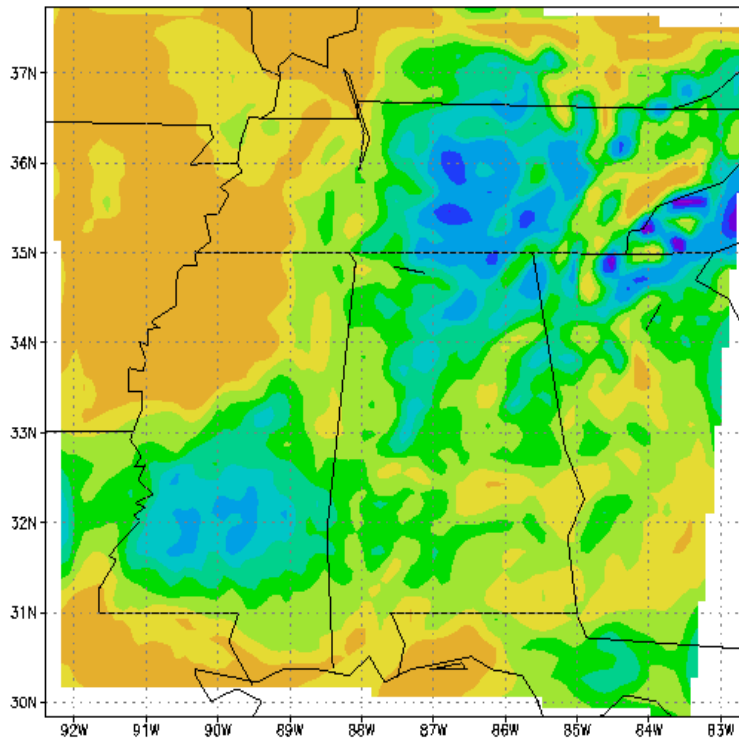
MM5 Landuse Moisture Availability



Short-wave Model Control – July 15 18Z

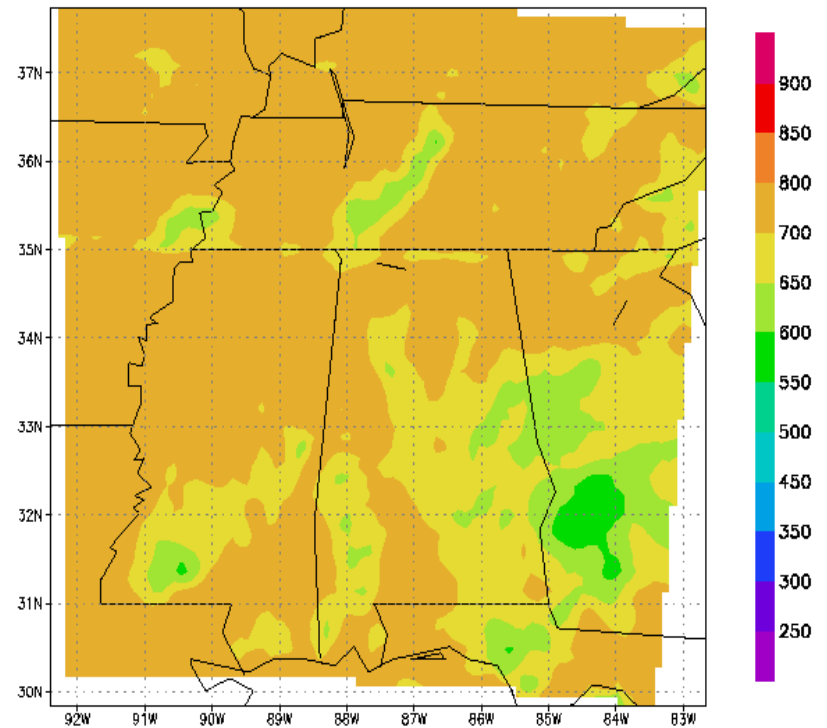


Short-wave Model July 15 18Z



8

Short-Wave Satellite July 15 18Z

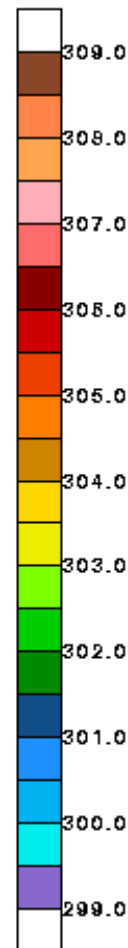


2001-04-03-1

2001-04-03-13

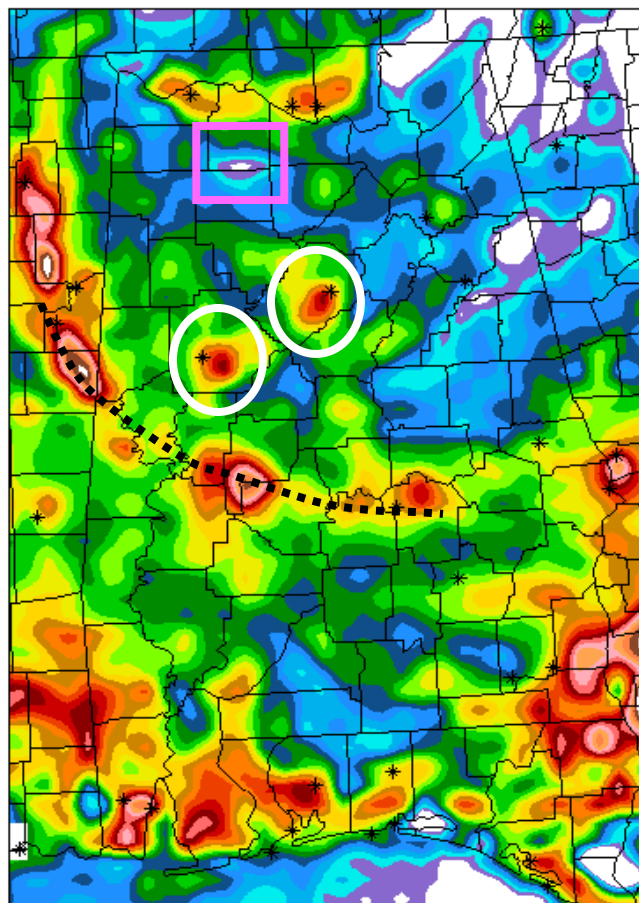
AVHRR

**GOES-8 Skin Temperature
19 May 1999 3:00 PM CDT**



**Appealing
attributes
of GOES data:**


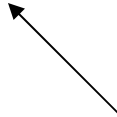
- * High sampling frequency**
- * High spatial resolution**
- * Pixels provide an integral quantity**



Surface Energy Budget

Two Uncertain Parameters

$$C_b \left(\frac{dT_G}{dt} \right) = (R_N + H + G) - E$$

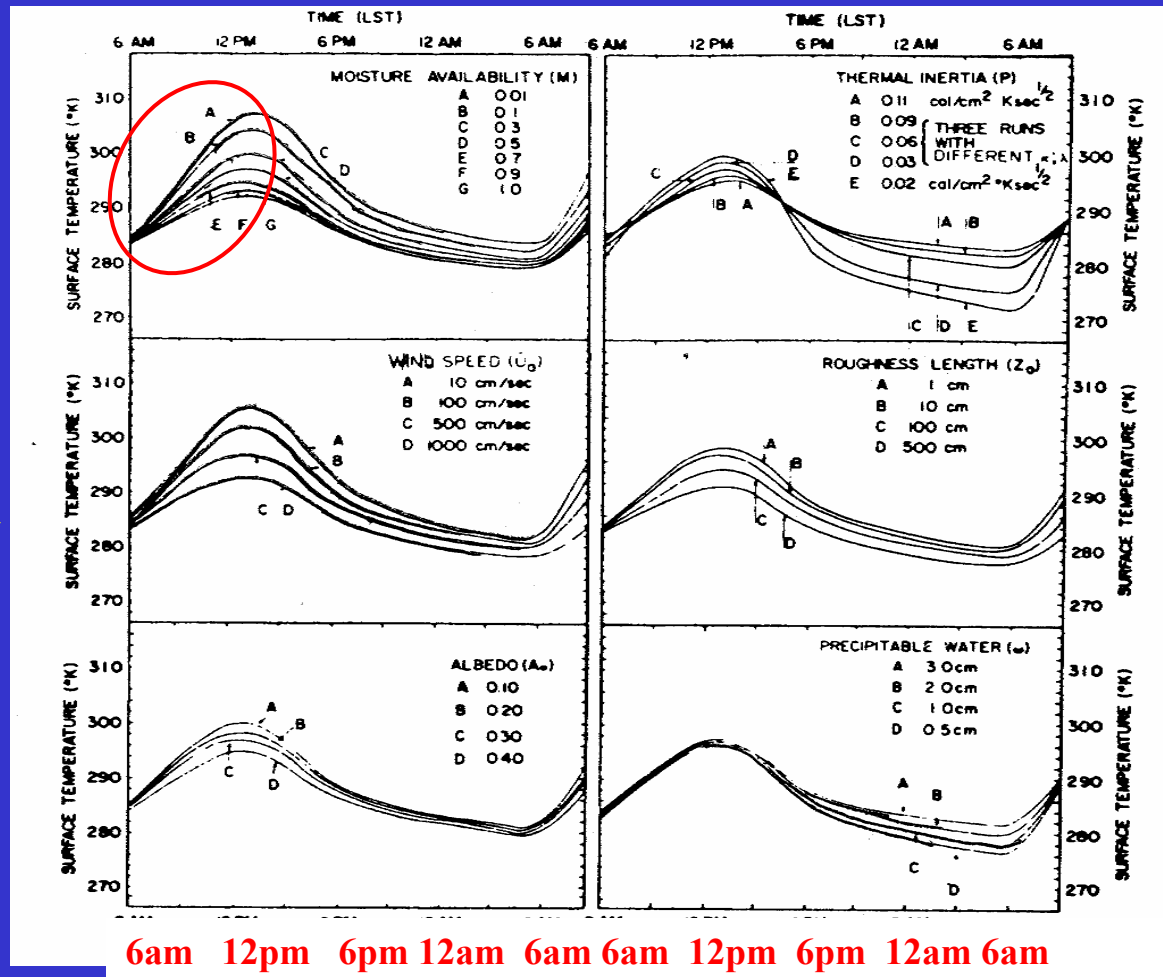
Bulk Heat Capacity  Evaporative Heat Flux 

Sensitivity of Surface Energy Budget to Various Parameters

Moisture Availability

Wind Speed

Albedo



Thermal Inertia

Roughness Length

Precipitable Water

Fig. 1. Taken from Carlson (1986) to demonstrate the sensitivity of the surface energy budget model. Each panel represents the sensitivity of the simulated LST to uncertainty in a given parameter

Determining Moisture Availability from Satellite Skin Temperature Tendencies

McNider et al. 1995 *Mon. Wea. Rev.* applied to MM5 by
Lapenta

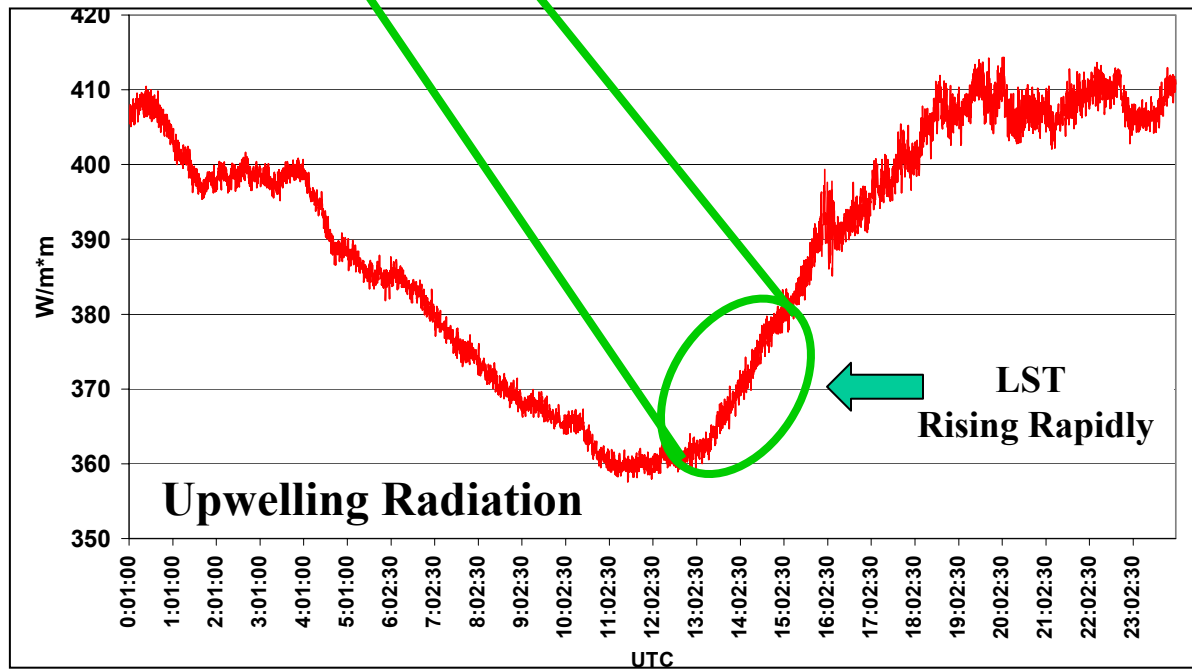
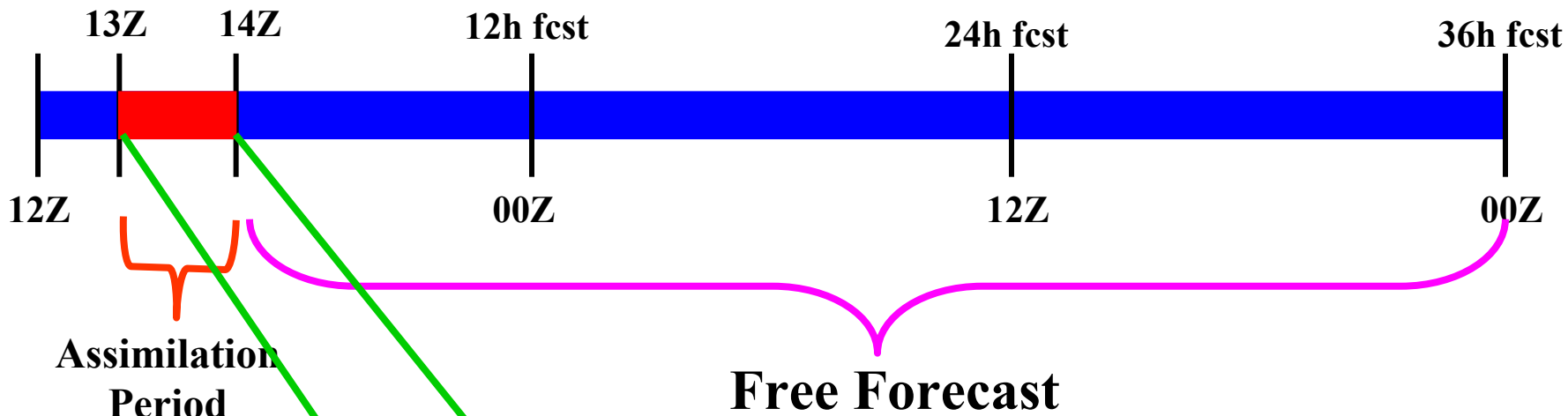
Model Energy Budget $\longrightarrow C_{b_m} \left(\frac{dT_G}{dt} \right)_m = (R_N + H + G)_m + E_m$

Satellite Energy Budget $\longrightarrow C_{b_s} \left(\frac{dT_G}{dt} \right)_s = (R_N + H + G)_s + E_s$

$$E_s = C_b \left[\left(\frac{dT_G}{dt} \right)_s - \left(\frac{dT_G}{dt} \right)_m \right] + E_m$$

Derived Moisture Availability $\longrightarrow M_s = E_s \frac{\ln \left(\frac{ku_* z_a}{k_a} + \frac{z_a}{z_l} \right) - \varphi_h}{\rho k u_* (q_{sfc}(T_g) - q_a)}$

* Assimilation performed between 1300-1400 UTC

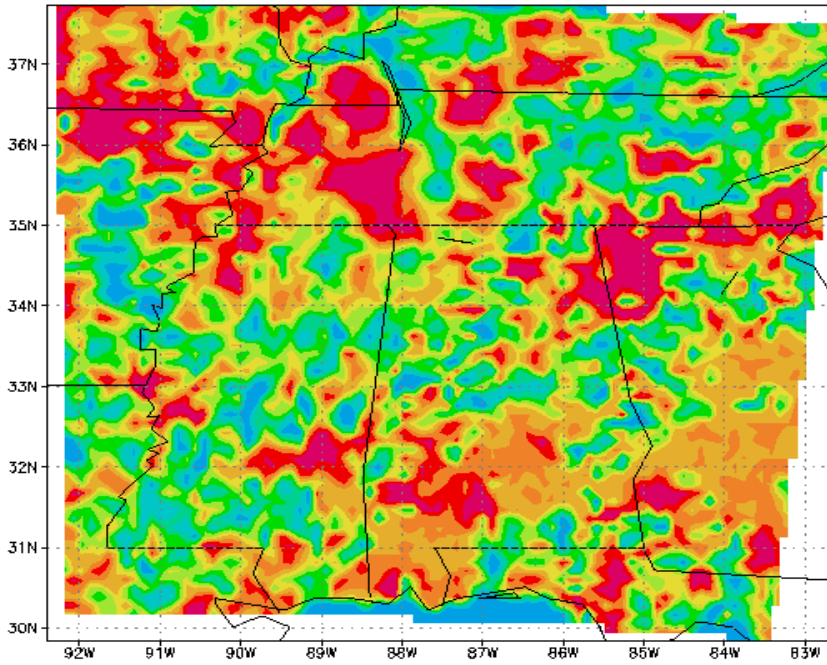


Assimilate:

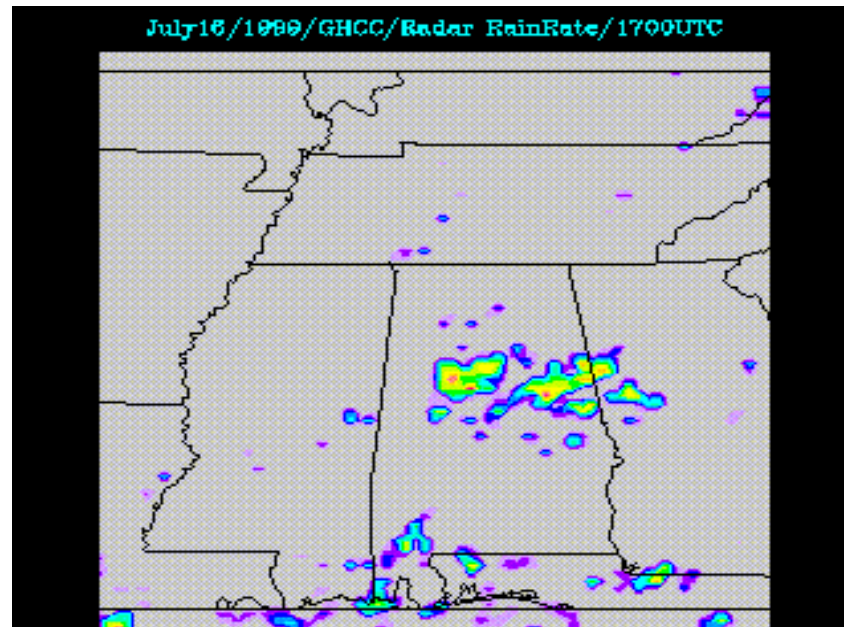
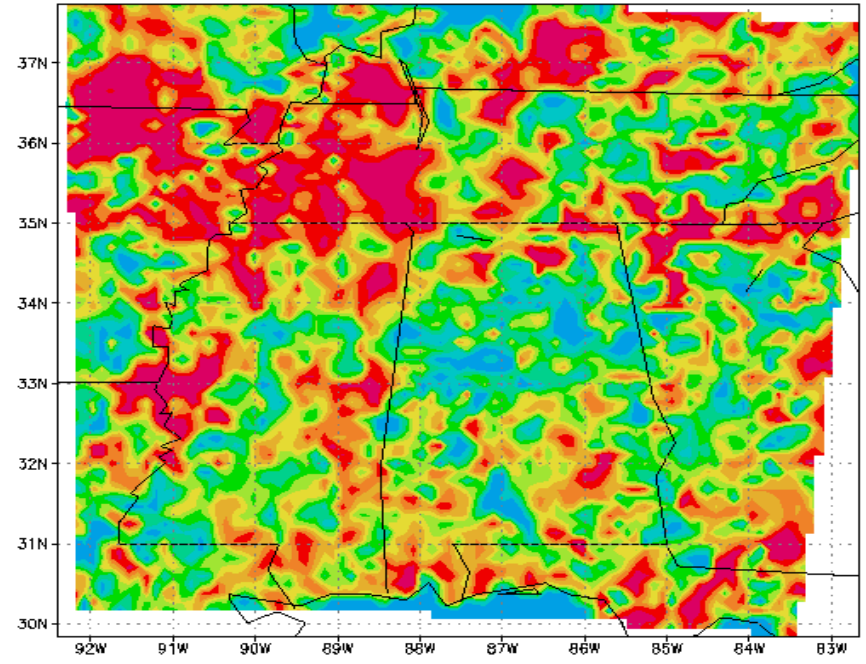
* Land Surface Temperature Tendencies computed from hourly images.

* Solar insolation

July 16



July 17

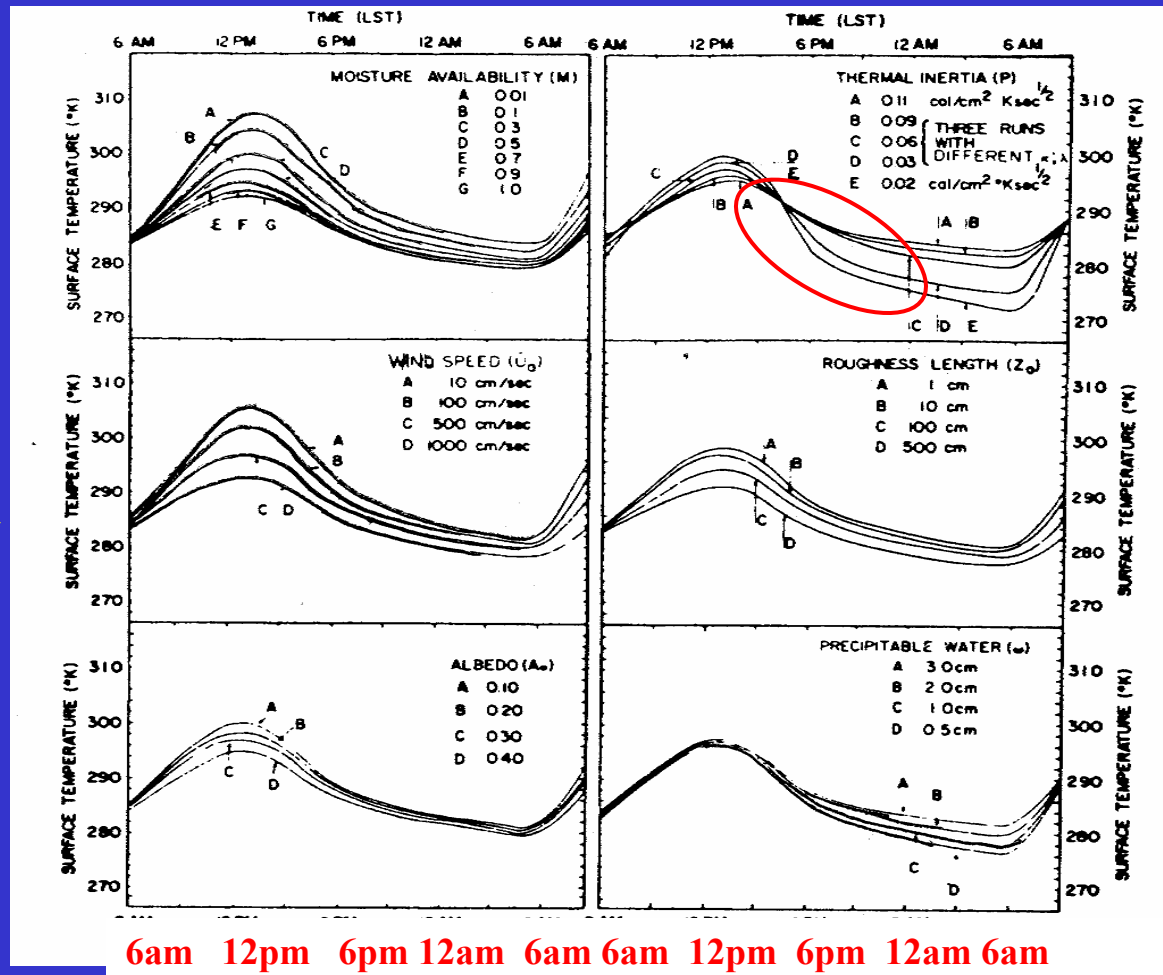


Sensitivity of Surface Energy Budget to Various Parameters

Moisture Availability

Wind Speed

Albedo



Thermal Inertia

Roughness Length

Precipitable Water

Fig. 1. Taken from Carlson (1986) to demonstrate the sensitivity of the surface energy budget model. Each panel represents the sensitivity of the simulated LST to uncertainty in a given parameter

Determining Bulk Heat Capacity

Model Energy Budget $\longrightarrow C_{b_m} \left(\frac{dT_G}{dt} \right)_m = (R_N + H + G)_m + E_m$

Satellite Energy Budget $\longrightarrow C_{b_s} \left(\frac{dT_G}{dt} \right)_s = (R_N + H + G)_s + E_s$

Derived Heat Capacity $\longrightarrow C_{b_s} = C_{b_m} \left(\frac{dT_G}{dt} \right)_m / \left(\frac{dT_G}{dt} \right)_s$

Evening Skin Tendencies

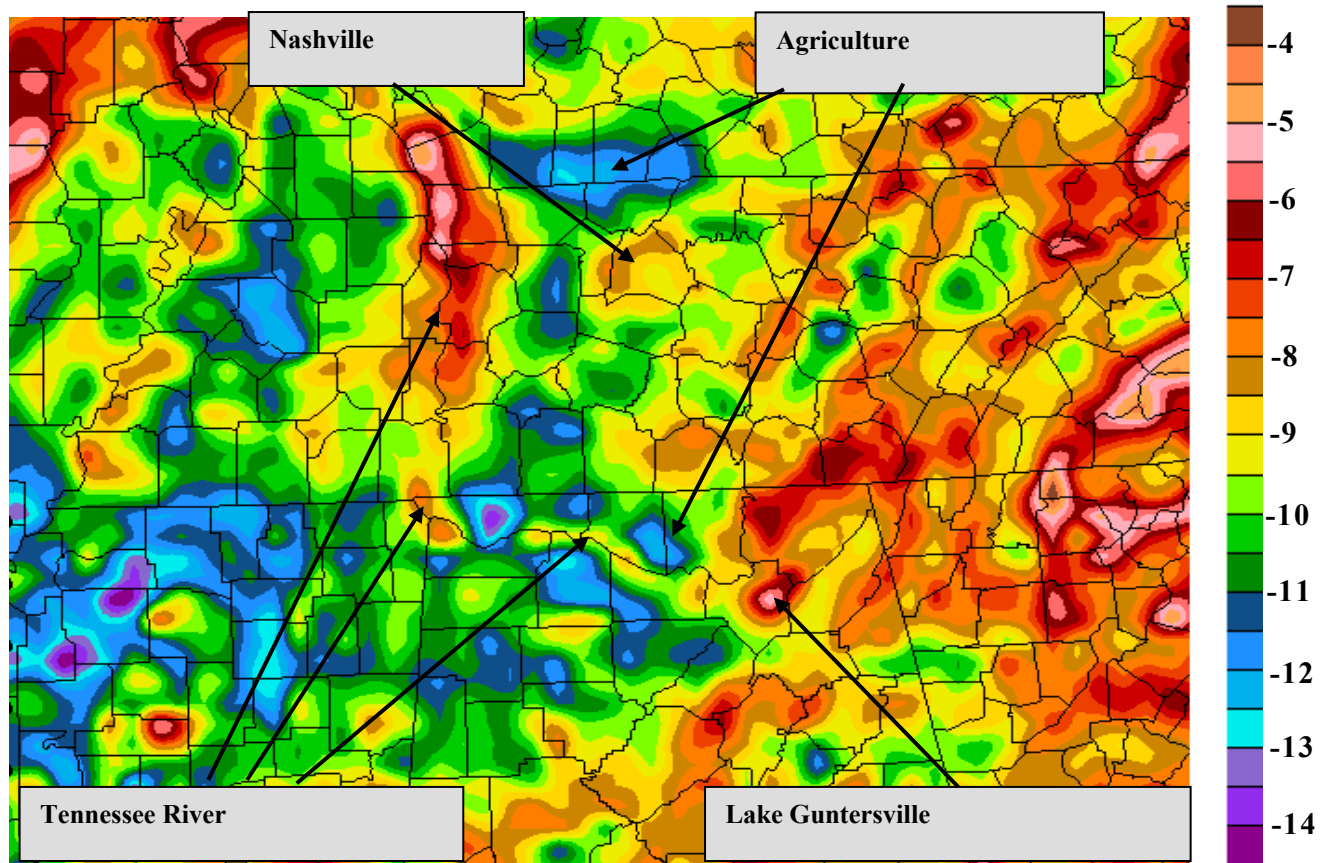
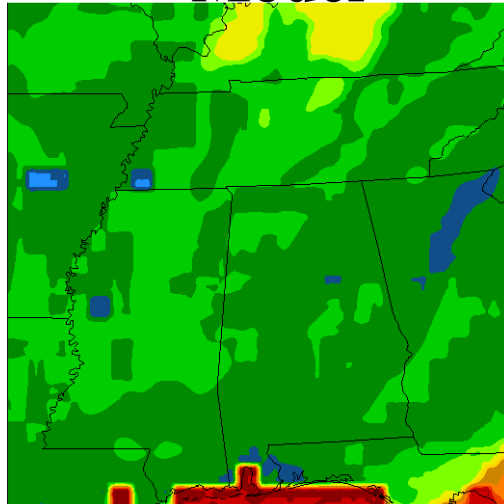


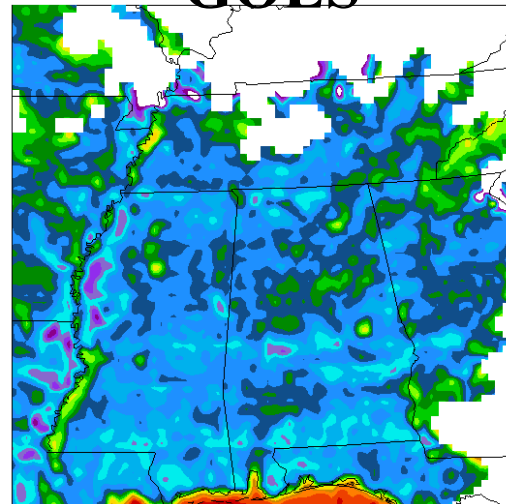
Fig. 4. Evening GOES imager derived LST tendency for the three-hour period ending 0045 UTC 20 Sept. 2000 ($K\ 3h^{-1}$). The 4-km pixel data have been spatially averaged to a 1-degree model grid.

GOES-Inferred Heat Capacity

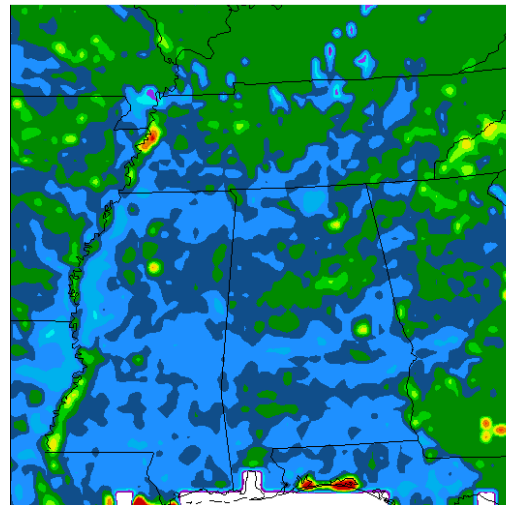
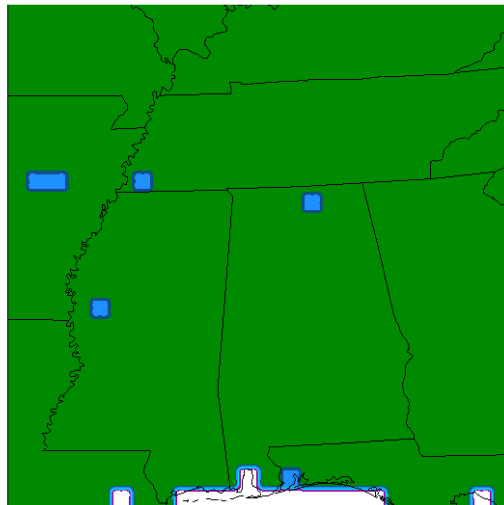
Model



GOES



Temperature
Tendency
May 19, 2002
22 UTC to 02 UTC
(4-hours)

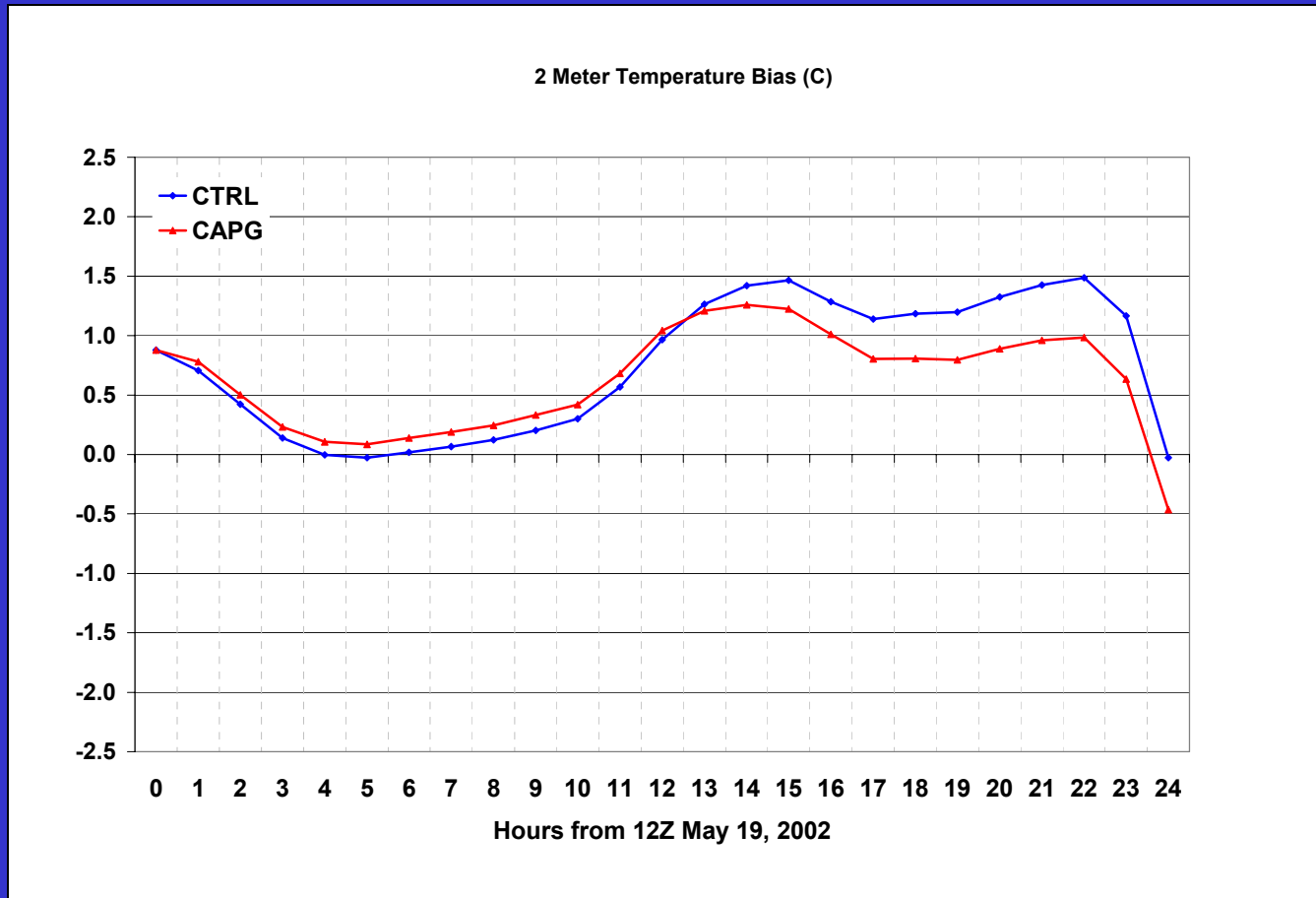


Heat Capacity

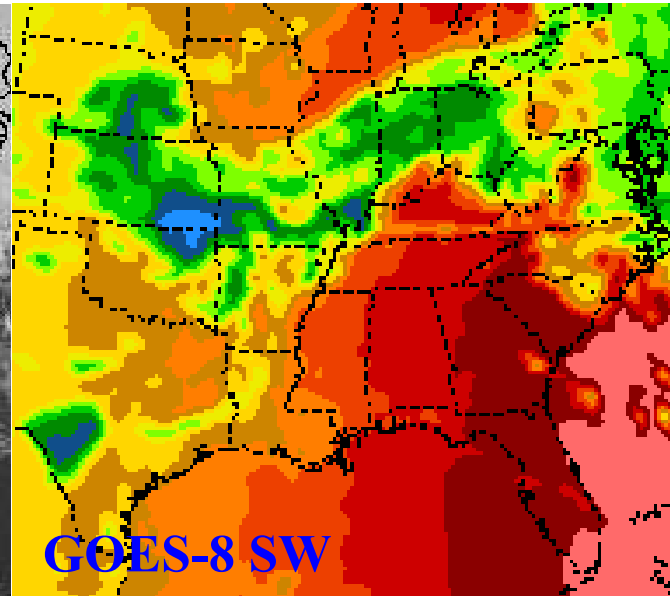
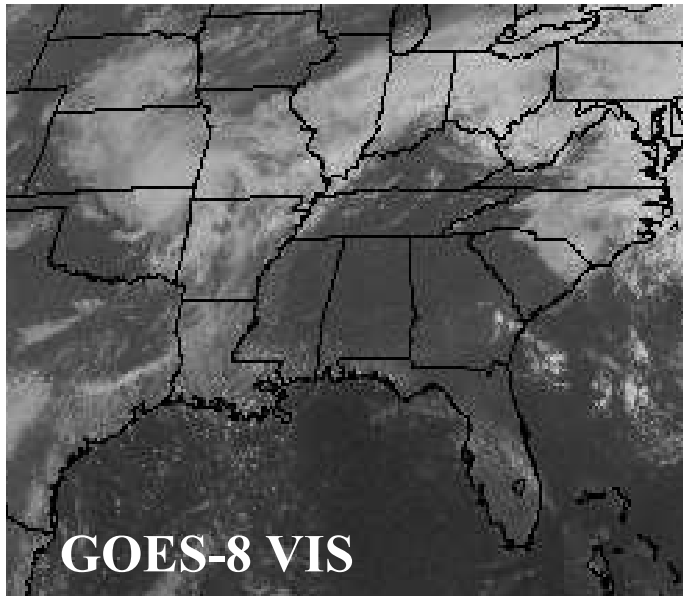
Model Default

GOES-Inferred

Results from 1'st Attempt



Insolation/Cloud Treatment



Clear Sky

GOES SW↓ used in R_N

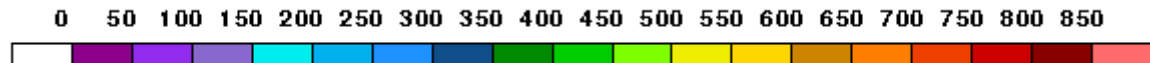
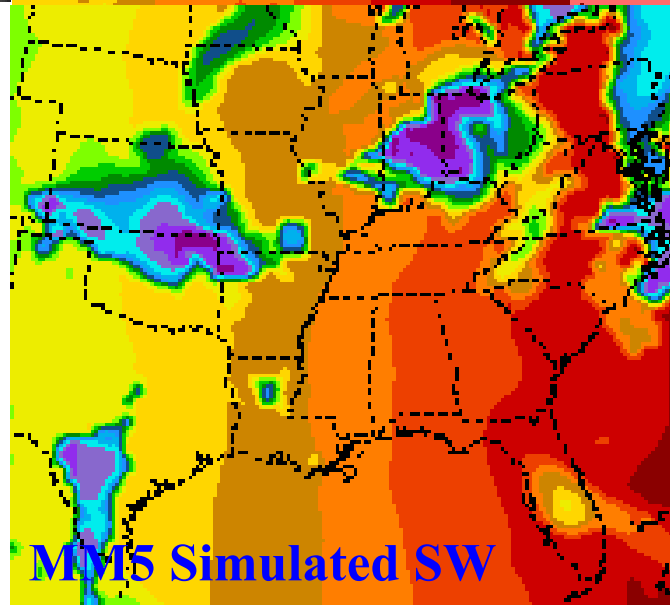
GOES $\frac{\partial T_g}{\partial t}$ used to adjust M

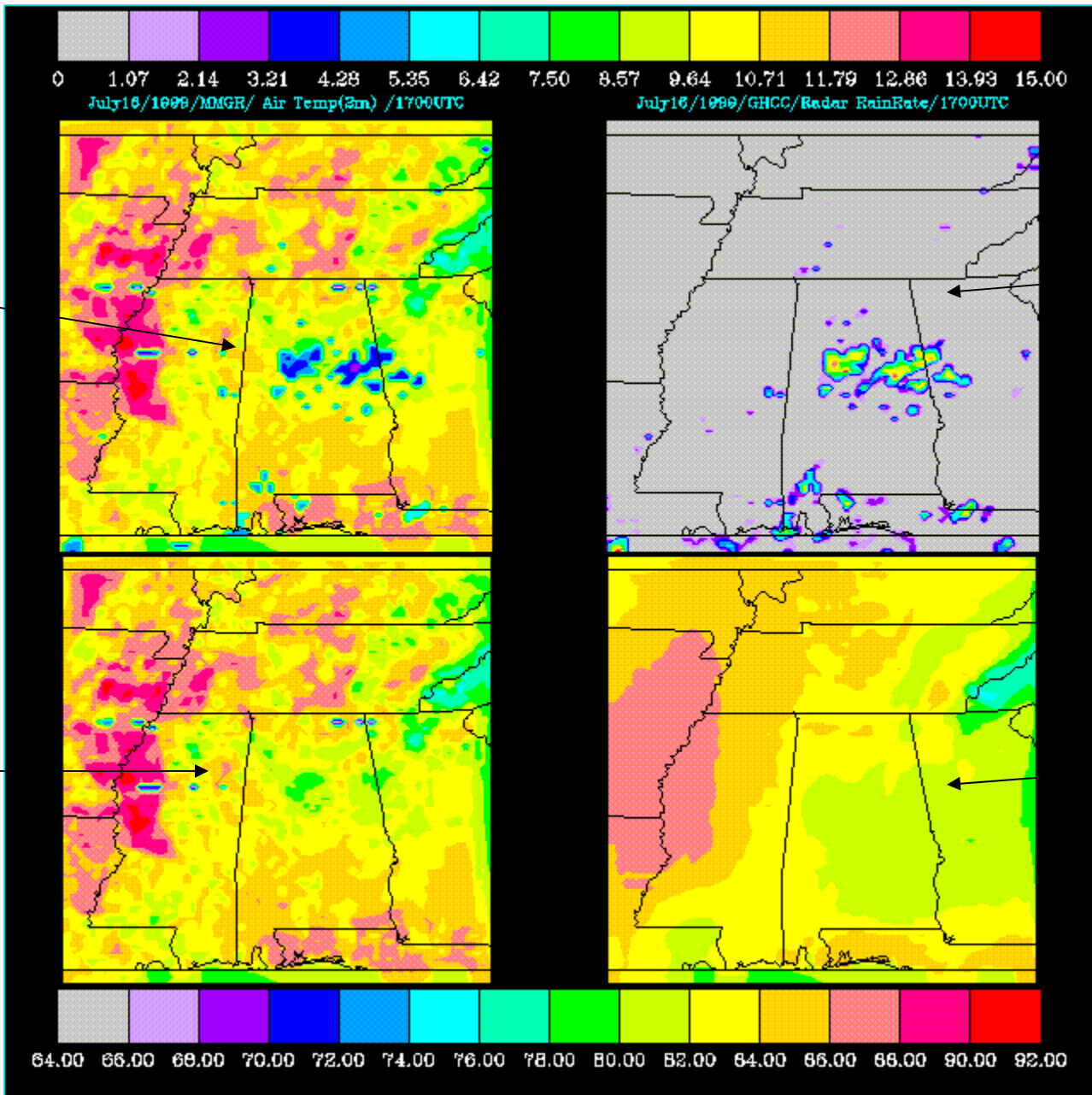
Cloudy Sky

Model SW↓ used in R_N

Model $\frac{\partial T_g}{\partial t}$ used

no adjustment in M





With Radar
and Satellite
Assimilation

Radar

With Satellite
Assimilation

Control

Atmospheric Physics/Dynamics

Satellite Assimilation of
Clouds

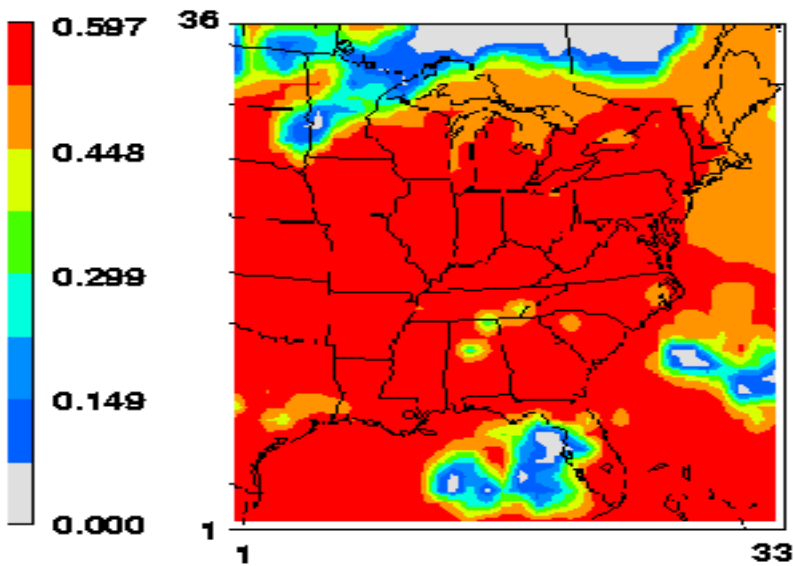


Photolysis Rates
First Order Effect

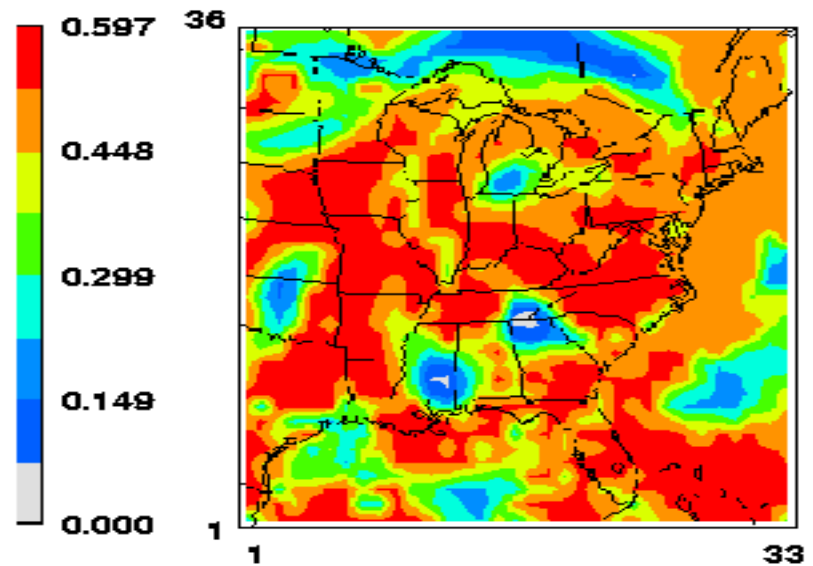
JNO₂

Satellite

Model



(a)



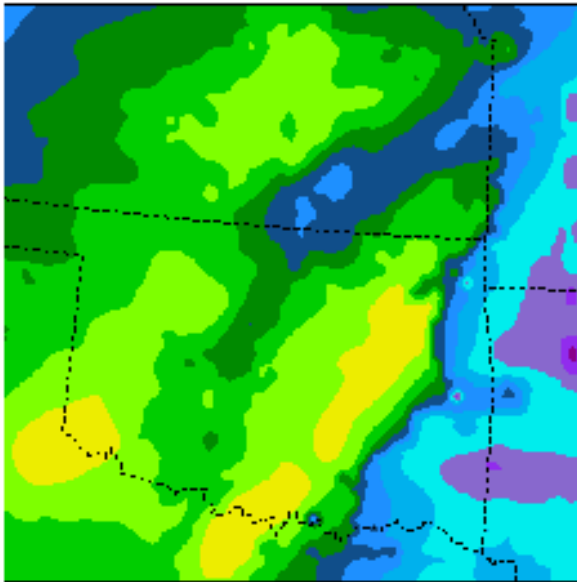
(b)

Numerical Simulation of 2 Meter Air Temperature (°F)

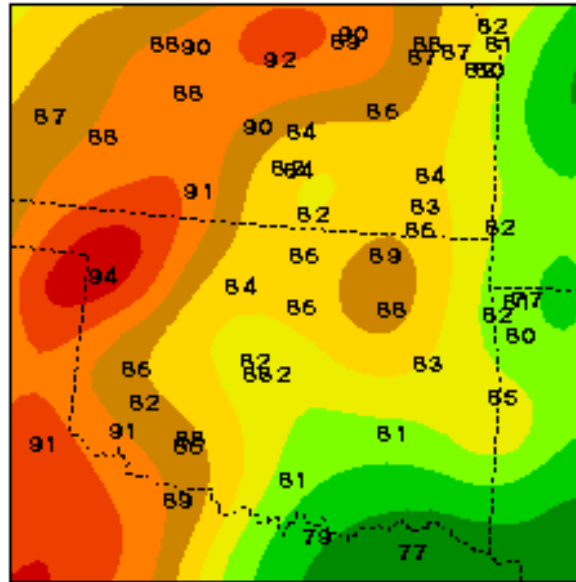
5 April 2000

9 hour Forecast Valid 2100 UTC

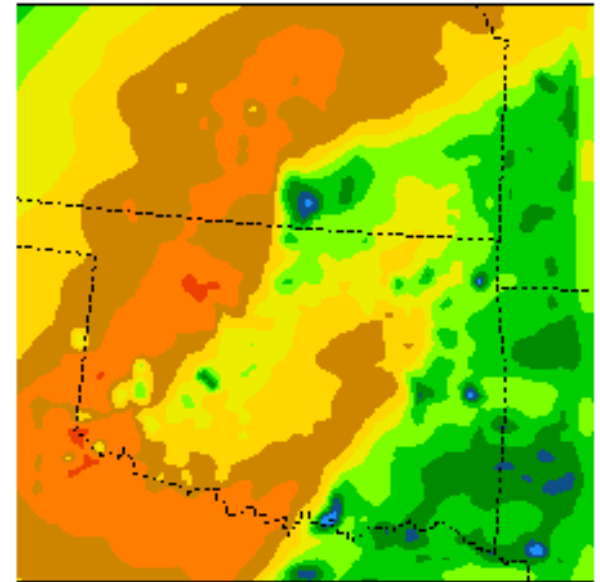
62 64 66 68 70 72 74 76 78 80 82 84 86 88 90 92 94 96 98 100 102 104



**Control
No Assimilation**



**Analysis of
Observations**



Assimilation

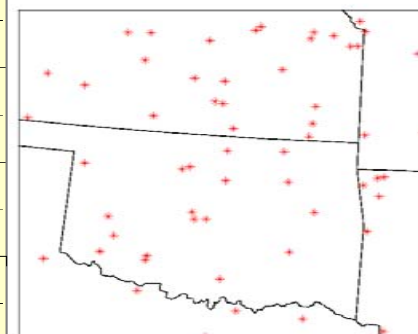
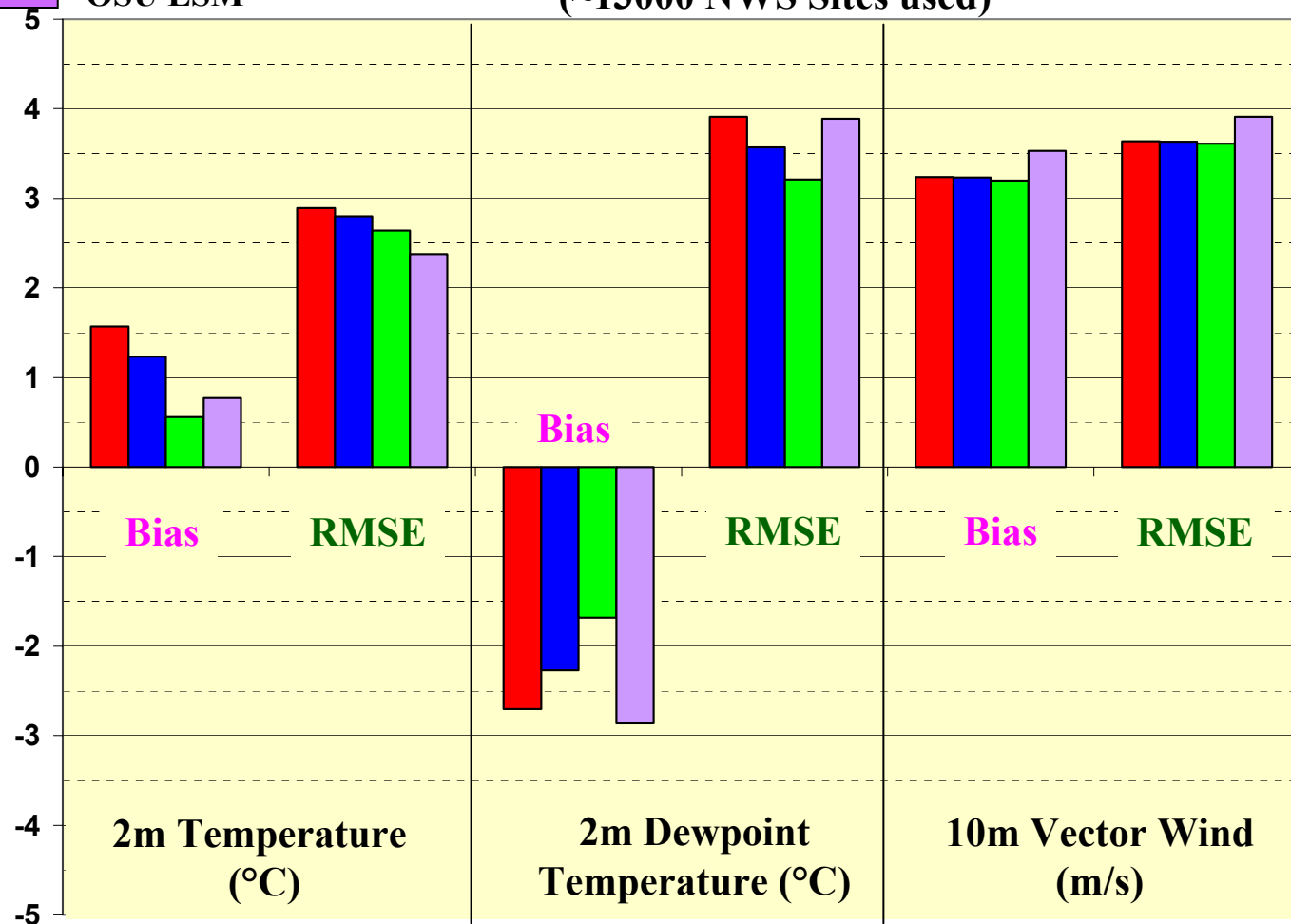
Bulk Verification Statistics

04 to 12h Forecasts

Accumulated for 26 Days

(~13000 NWS Sites used)

- Control
- 1 Hour Assim
- Recycle Assim
- OSU LSM



Typical NWS Site Distribution

% of Days With Improved Results		
Model Configuration	T	Td
1 Hour Assimilation	54 %	54 %
Recycle Assimilation	73 %	100 %

Summary and Conclusions

- Real-time photochemical models have promise but in Southeast need improvement to perform better than existing operational methods**
- A data reanalysis period with data assimilation may improve initial chemical condition and quality of forecast**
- Satellite data may provide needed information**

GOES Assimilation Procedure in MM5

McNider et al.1994 Mon.Wea. Rev

Surface Energy Budgets:

$$\textit{Model} \quad c_b \left(\frac{dT_g}{dt} \right)_M = (R_N + H + G)_M + E_M$$

$$\textit{Satellite} \quad c_b \left(\frac{dT_g}{dt} \right)_S = (R_N + H + G)_S + E_S$$

T_g =skin temperature

E =latent flux

R_N =net radiation

G =soil flux

H =sensible flux

Critical Assumptions:

*Mid-morning energy budget over land is very sensitive to moisture availability (Wetzel 84, Carlson 86)

* E is the most complex term in the energy budget

*All other terms are assumed to be equal

Solve for Satellite Inferred Variables

Moisture Flux

$$E_S = C_b \left[\left(\frac{dT_g}{dt} \right)_S - \left(\frac{dT_g}{dt} \right)_M \right] + E_M$$

Moisture Availability

$$M_s = E_s \frac{\ln \left(\frac{ku_* z_a}{k_a z_1} + \frac{z_a}{z_1} \right) - \phi_h}{\rho k u_* (q_{sfc}(T_g) - q_a)}$$